

PROOF OF INTEREST

Case Number:

24-50792

☐ Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.

DEC 17 2024

☐ Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.

☐ Check box if this address differs from the address on the envelope sent to you by the Debtors.

252-714-1430

NOTE: This form **SHOULD NOT** be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.

COURT USE ONLY

Check here if this claim:

☐ replaces a previously filed Proof of Interest dated: _____
☐ amends a previously filed Proof of Interest dated: _____

3. Date Equity Interest was acquired:

July 2021 - Sep 2023

Telephone Number:

4. Total amount of member interest: see attached documentation 5. Certificate number(s): see attached documentation

Please indicate the type of Equity Interest you hold:

☒ Check this box if your Equity Interest is based on an actual member interest held in the Debtor.

☐ Check this box if your Equity Interest is based on anything else and describe that interest:

Description: wester

7. **Supporting Documents:** Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.

8. **Date-Stamped Copy:** To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.

9. Signature:

Check the appropriate box.

☒ I am the creditor. ☐ I am the creditor's authorized agent. ☐ I am the trustee, or the debtor, or their authorized agent. ☐ I am a guarantor, surety, endorser, or other codebtor.
(Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.

Print Name: Christopher David Cox
 Title: _____
 Company: _____ Address and telephone number (if different from notice address above): _____

(Signature)

12-10-24

(Date)

Telephone number: 252-714-1430 email: coxconstructionnc@yahoo.com

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

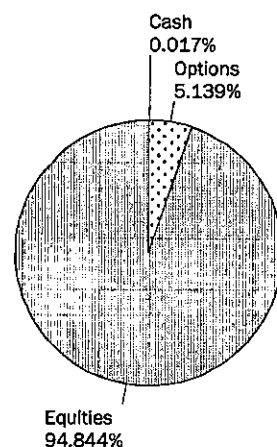
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$0.69	\$1.16
NET ACCOUNT BALANCE	0.69	1.16
Securities	3,103.86	6,731.41
TOTAL PRICED PORTFOLIO	3,103.86	6,731.41
Total Equity Holdings	\$3,104.55	\$6,732.57

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held. If available from quotation services. The rate is multiplied by the number of shares or per value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA**Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
APTEVO THERAPEUTICS INC COMMON STOCK	APVO	C	183	\$18.77	\$3,434.91	\$3,095.34	11%		51.019%
META MATLS INC COMMON STOCK	MMAT	C	843	3.50	2,950.50		N/A		43.824
CALL MMAT 01/21/22 5 META MATLS INC EXP 01/21/2022	8NYNFH9	C	3	1.07	321.00		N/A		4.768
CALL MMAT 08/20/21 5 META MATLS INC EXP 08/20/2021	8NYNDX3	C	1	0.25	25.00		N/A		.371
Total Equities					\$6,385.41				94.844%
Total Options					\$346.00				5.139%
Total Cash (Net Portfolio Balance)					\$1.16				0.017%
TOTAL PRICED PORTFOLIO					\$6,732.57				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	07/15/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	5	\$19.63	\$98.15	

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customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/15/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	10	20.375	203.75	
BOUGHT	07/15/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	10	20.30	203.00	
BOUGHT	07/15/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	10	19.86	198.60	
BOUGHT	07/19/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	10	18.09	180.90	
BOUGHT	07/20/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	3.61	361.00	
BOUGHT	07/20/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	200	3.71	742.00	
BOUGHT	07/21/21	C	IDEANOMICS INC COMMON STOCK CUSIP: 45166V106	97	2.43	235.71	
BOUGHT	07/21/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	3.42	342.00	
BOUGHT	07/21/21	C	CALL MMAT 08/20/21 5 META MATLS INC OPEN CONTRACT Security Number: 8NYNDX3	3	0.35	105.16	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/21/21	C	CALL MMAT 01/21/22 5 META MATLS INC OPEN CONTRACT Security Number: 8NYNFH9	1	1	100.05	
BOUGHT	07/21/21	C	CALL MMAT 01/21/22 5 META MATLS INC OPEN CONTRACT Security Number: 8NYNFH9	1	1.10	110.05	
BOUGHT	07/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	44	3.26	143.44	
BOUGHT	07/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	3.2763	327.63	
BOUGHT	07/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	200	3.2399	647.98	
BOUGHT	07/22/21	C	CALL MMAT 01/21/22 5 META MATLS INC OPEN CONTRACT Security Number: 8NYNFH9	1	1.30	130.05	
SOLD	07/22/21	C	CALL MMAT 08/20/21 5 META MATLS INC CLOSING CONTRACT Security Number: 8NYNDX3	3	0.55		164.82
BOUGHT	07/23/21	C	CALL MMAT 08/20/21 5 META MATLS INC OPEN CONTRACT Security Number: 8NYNDX3	1	0.45	45.05	

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APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
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► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/23/21	C	IDEANOMICS INC COMMON STOCK CUSIP: 45166V106	100	2.495		249.48
SOLD	07/23/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	744	3.61		2,685.73
BOUGHT	07/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	43	3.49	150.07	
BOUGHT	07/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	200	3.45	690.00	
BOUGHT	07/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	300	3.4599	1,037.97	
BOUGHT	07/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	300	3.49	1,047.00	
Total Buy / Sell Transactions						\$7,099.56	\$3,100.03

FUNDS PAID AND RECEIVED

CN1	07/13/21	C	CONTRIBUTION 2021 SEN(20210713212242) Security Number: 8661181	2,000			
CN1	07/14/21	C	CONTRIBUTION 2021 SEN(20210714220791) Security Number: 8661181	2,000			

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PAID AND RECEIVED (continued)							
CN1	07/13/21	C	ACH DEPOSIT SEN(20210713212242)				2,000.00
CN1	07/14/21	C	ACH DEPOSIT SEN(20210714220791)				2,000.00
Total Funds Paid And Received							\$4,000.00

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	4,600.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2020	440.00
FAIR MARKET VALUE - 12/31/20 **	381.69

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ ANNOUNCEMENTS****IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Audited Statement of Financial Condition as of December 31, 2020 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of December 31, 2020, Apex Clearing Corporation had a net capital of \$221,754,625 and was \$190,963,611 in excess of its required net capital of \$30,791,014. As of January 31, 2021, Apex Clearing Corporation had a net capital of \$316,877,867 and was \$269,611,762 in excess of its required net capital of \$47,266,105.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and Interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute Interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we

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APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION

APEX CLEARING CORPORATION DISCLOSURE STATEMENT

July 1, 2021 - July 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

I R A C C O U N T

July 1, 2021 - July 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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July 1, 2021 - July 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

► ANNOUNCEMENTS (CONTINUED)

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

Account Holder Information

Our records currently reflect the following investment objectives and financial information for your account:

Telephone (1) : _____ **Profession:** _____ **Employment Status:** NE

Telephone (2) : **Broker Employee:**

Investment Objective: Income

Risk Tolerance: MEDIUM **Time Horizon:** LONGEST

Estimated Income: \$100,001 TO \$200,000

Estimated Net Worth: \$500,001 TO \$1,000,000

Estimated Liquid Net Worth: \$200,001 TO \$500,000

Liquidity Needs: SOMEWHAT IMPORTANT

If any of the information above is incorrectly stated or missing, please contact your registered representative and/or broker directly listed on the first page of your account statement. Please note that not all defined investment objectives listed below are available to each client; investment objectives are setup by each broker dealer independently. To discuss which investment objectives are available to you please contact your registered representative and/or broker directly listed on the first page of your account statement.

Investment Objectives Defined As:

Balanced - Diversification of assets classes for equal blend of income and long-term growth

Capital Appreciation - High Risk, capital growth invested primarily in stocks and options

Capital Preservation - A conservative investment strategy characterized by a desire to avoid risk of loss

Growth - Investing in securities with strong earnings and/or revenue growth or potential

Growth and Income - Preserve Capital as much as possible

Income - Strategy focused on current income rather than capital appreciation, wants to use proceeds of the account as a source of income

Long Term Growth With Great Risk - Aggressive growth (trade volatile securities that have wide changes in price)

Long Term Growth With Safety - Long term capital appreciation with relative safety of principal

Short Term Growth With Risk - Appreciation with acceptance of high risk

Speculation/Speculative - Taking larger risks, usually by frequent trading, with hope of higher than average gains, want increase in value of investments - High Risk All strategies involve various types and levels of risk; the most common of which are: market, credit, inflation, business and interest rate risk.

End of Statement

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

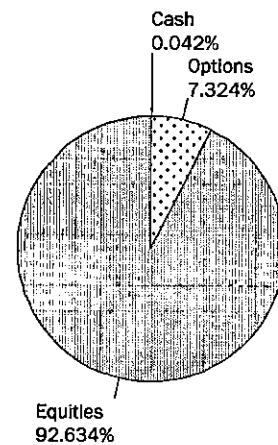
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$1.16	\$3.45
NET ACCOUNT BALANCE	1.16	3.45
Securities	6,731.41	7,050.51
TOTAL PRICED PORTFOLIO	6,731.41	7,050.51
Total Equity Holdings	\$6,732.57	\$7,053.96

PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books or records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit, Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
APTEVO THERAPEUTICS INC COMMON STOCK	APVO	C	183	\$17.95	\$3,284.85	\$3,434.91	-4%		39.746%
META MATLS INC COMMON STOCK	MMAT	C	940	4.65	4,371.00	2,950.50	48		52.888
CALL MMAT 09/17/21 5 META MATLS INC EXP 09/17/2021	9KBFDR3	C	-9	0.673	-605.34	321.00	-289		7.324
Total Equities					\$7,655.85				92.634%
Total Options					\$-605.34				7.324%
Total Cash (Net Portfolio Balance)					\$3.45				0.042%
TOTAL PRICED PORTFOLIO					\$7,053.96				

► **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
SOLD	08/25/21	C	CALL MMAT 01/21/22 5 META MATLS INC CLOSING CONTRACT Security Number: 8NYNFH9	3	\$0.70		\$209.82

August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

**CHRISTOPHER COX
APEX C/F ROTH IRA**

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	08/25/21	C	CALL MMAT 09/17/21 5 META MATLS INC OPEN CONTRACT Security Number: 9KBFD3	9	0.15		134.49
BOUGHT	08/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	37	3.57	132.09	
BOUGHT	08/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	60	3.4989	209.93	
Total Buy / Sell Transactions						\$342.02	\$344.31
MISCELLANEOUS TRANSACTIONS							
EXPIRED	08/20/21	C	CALL MMAT 08/20/21 5 META MATLS INC OPTION EXPIRATION - EXPIRED Security Number: 8NYNDX3	-1			
Total Miscellaneous Transactions							

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	4,600.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2020	440.00

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August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

► RETIREMENT ACCOUNT INFORMATION (CONTINUED)

<u>DESCRIPTION</u>	<u>AMOUNT</u>
FAIR MARKET VALUE - 12/31/20 **	381.69

** THIS INFORMATION IS BEING PROVIDED TO THE IRS



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA**Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Audited Statement of Financial Condition as of December 31, 2020 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of December 31, 2020, Apex Clearing Corporation had a net capital of \$221,754,625 and was \$190,963,611 in excess of its required net capital of \$30,791,014. As of January 31, 2021, Apex Clearing Corporation had a net capital of \$316,877,867 and was \$269,611,762 in excess of its required net capital of \$47,266,105.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION

APEX CLEARING CORPORATION DISCLOSURE STATEMENT

August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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August 1, 2021 - August 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

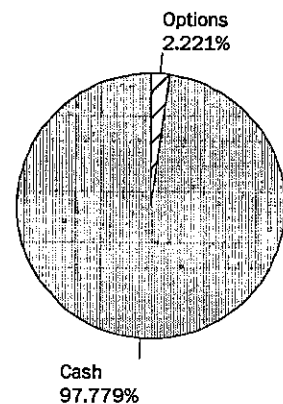
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	<u>OPENING BALANCE</u>	<u>CLOSING BALANCE</u>
Cash account	\$3.45	\$12,107.42
NET ACCOUNT BALANCE	3.45	12,107.42
Securities	7,050.51	-275.00
TOTAL PRICED PORTFOLIO	7,050.51	-275.00
Total Equity Holdings	\$7,053.96	\$11,832.42

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth In Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE, WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us**► PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 10/15/21 7 META MATLS INC EXP 10/15/2021	9NYNFC9	C	-10	\$0.275	\$-275.00	\$-605.34	55%		2.221%
Total Equities									
Total Options					\$-275.00				2.221%
Total Cash (Net Portfolio Balance)					\$12,107.42				97.779%
TOTAL PRICED PORTFOLIO					\$11,832.42				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
SOLD	09/07/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	40	\$5.115		\$204.58
BOUGHT	09/08/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	303	5.295	1,604.39	
SOLD	09/08/21	C	CALL MMAT 09/17/21 6 META MATLS INC OPEN CONTRACT Security Number: 9KBFD78	3	0.85		254.82

September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/09/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	49	5.235	256.52	
SOLD	09/09/21	C	CALL MMAT 09/17/21 5 META MATLS INC OPEN CONTRACT Security Number: 9KBFD3	1	0.55		54.93
BOUGHT	09/10/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	48	4.9679	238.46	
BOUGHT	09/10/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.095	509.50	
BOUGHT	09/10/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	114	5.0953	580.86	
SOLD	09/10/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	14	17.22		241.06
SOLD	09/10/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	30	17.20		515.98
SOLD	09/10/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	30	17.20		515.98
SOLD	09/10/21	C	CALL MMAT 09/17/21 6 META MATLS INC OPEN CONTRACT Security Number: 9KBFD8	2	0.28		55.88

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September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/13/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	10	5.2599	52.60	
SOLD	09/14/21	C	CALL MMAT 09/17/21 5 META MATLS INC OPEN CONTRACT Security Number: 9KBFDR3	2	0.52		103.88
BOUGHT	09/15/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	30	5.2199	156.60	
BOUGHT	09/15/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	161	5.2879	851.35	
SOLD	09/15/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	9	17.19		154.69
SOLD	09/15/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	50	17.036		851.78
BOUGHT	09/16/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	20	5.1399	102.80	
SOLD	09/16/21	C	CALL MMAT 09/17/21 5 META MATLS INC OPEN CONTRACT Security Number: 9KBFDR3	1	0.31		30.93
SOLD	09/16/21	C	CALL MMAT 09/17/21 5 META MATLS INC OPEN CONTRACT Security Number: 9KBFDR3	1	0.30		29.93

September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/17/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	166	5.06	839.96	
SOLD	09/17/21	C	APTEVO THERAPEUTICS INC COMMON STOCK CUSIP: 03835L207	50	16.75		837.48
BOUGHT	09/20/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	12	4.9699	59.64	
SOLD	09/21/21	C	META MATLS INC COMMON STOCK A/E 9KBFD3 14 ASSIGNED CUSIP: 59134N104	1,400	5		6,999.79
BOUGHT	09/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	4.925	492.50	
BOUGHT	09/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	187	4.9099	918.15	
BOUGHT	09/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	200	4.9199	983.98	
BOUGHT	09/22/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	4.92	2,460.00	
BOUGHT	09/23/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5	500.00	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/28/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	150	5.2087	781.31	
BOUGHT	09/28/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	166	5.21	864.86	
SOLD	09/29/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,916	6.0901		11,668.34
SOLD	09/30/21	C	CALL MMAT 10/15/21 7 META MATLS INC OPEN CONTRACT Security Number: 9NYNFC9	1	0.45		44.93
SOLD	09/30/21	C	CALL MMAT 10/15/21 7 META MATLS INC OPEN CONTRACT Security Number: 9NYNFC9	1	0.45		44.93
SOLD	09/30/21	C	CALL MMAT 10/15/21 7 META MATLS INC OPEN CONTRACT Security Number: 9NYNFC9	4	0.45		179.77
SOLD	09/30/21	C	CALL MMAT 10/15/21 7 META MATLS INC OPEN CONTRACT Security Number: 9NYNFC9	4	0.42		167.77
Total Buy / Sell Transactions						\$12,253.48	\$22,957.45

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September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PAID AND RECEIVED							
CN1	09/03/21	C	CONTRIBUTION 2021 SEN(20210902292706) Security Number: 8661181	1,400			
CN1	09/03/21	C	ACH DEPOSIT SEN(20210902292706)				1,400.00
Total Funds Paid And Received							\$1,400.00
SECURITIES RECEIVED AND DELIVERED							
ASG	09/17/21	C	CALL MMAT 09/17/21 5 META MATLS INC A/E 9KBFDR3 14 ASSIGNED Security Number: 9KBFDR3	14			
Total Securities Received And Delivered							
MISCELLANEOUS TRANSACTIONS							
EXPIRED	09/17/21	C	CALL MMAT 09/17/21 6 META MATLS INC OPTION EXPIRATION - EXPIRED Security Number: 9KBFD8	5			
Total Miscellaneous Transactions							

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us**► EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES**

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	09/29/21	10/01/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	\$6.0999	\$609.99	
BOUGHT	09/29/21	10/01/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	400	6.175	2,470.00	
BOUGHT	09/29/21	10/01/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	6.0999	3,049.95	
BOUGHT	09/30/21	10/04/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.5099	550.99	

Total Executed Trades Pending Settlement**\$6,680.93****► RETIREMENT ACCOUNT INFORMATION**

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2020	440.00
FAIR MARKET VALUE - 12/31/20 **	381.69

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

September 1, 2021 - September 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F. ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ ANNOUNCEMENTS****IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
 - Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
 - Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)
- Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record. A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonable ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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October 1, 2021 - October 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

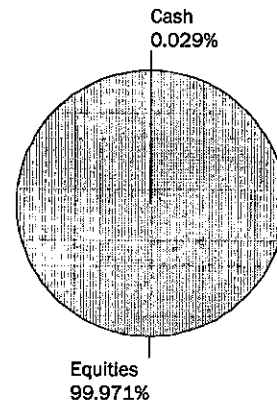
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$12,107.42	\$3.02
NET ACCOUNT BALANCE	12,107.42	3.02
Securities	-275.00	10,401.10
TOTAL PRICED PORTFOLIO	-275.00	10,401.10
Total Equity Holdings	\$11,832.42	\$10,404.12

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

October 1, 2021 - October 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**► PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	2,213	\$4.70	\$10,401.10		N/A		99.971%
Total Equities					\$10,401.10				99.971%
Total Cash (Net Portfolio Balance)					\$3.02				0.029%
TOTAL PRICED PORTFOLIO					\$10,404.12				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	10/01/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	\$6.0999	\$609.99	
BOUGHT	10/01/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	400	6.175	2,470.00	
BOUGHT	10/01/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	6.0999	3,049.95	
BOUGHT	10/04/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.5099	550.99	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	10/05/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.42	542.00	
BOUGHT	10/05/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.5391	553.91	
BOUGHT	10/05/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.52	552.00	
BOUGHT	10/06/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.1999	519.99	
BOUGHT	10/06/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	5.165	516.50	
BOUGHT	10/07/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	7	5.0999	35.70	
BOUGHT	10/07/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	530	5.10	2,703.00	
BOUGHT	10/12/21	C	CALL MMAT 10/15/21 7 META MATLS INC CLOSING CONTRACT Security Number: 9NYNFC9	10	0.10	100.42	
BOUGHT	10/13/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	5.4482	5.45	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	10/13/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	19	5.43		103.15
SOLD	10/19/21	C	CALL MMAT 11/19/21 6 META MATLS INC OPEN CONTRACT Security Number: 9KGDFZ4	21	0.35		734.06
BOUGHT	10/20/21	C	VANGUARD S&P 500 ETF CUSIP: 922908363	1	409.43	409.43	
BOUGHT	10/20/21	C	VANGUARD S&P 500 ETF CUSIP: 922908363	0.7937	409.5599	325.07	
BOUGHT	10/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	4.2399	4.24	
BOUGHT	10/26/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	175	4.235	741.13	
SOLD	10/26/21	C	VANGUARD S&P 500 ETF CUSIP: 922908363	1	416.87		416.85
SOLD	10/26/21	C	VANGUARD S&P 500 ETF CUSIP: 922908363	0.7937	416.84		330.83
BOUGHT	10/28/21	C	CALL MMAT 11/19/21 6 META MATLS INC CLOSING CONTRACT Security Number: 9KGDFZ4	21	0.174286	366.89	
BOUGHT	10/29/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	13	4.4779	58.21	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	10/29/21	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	95	4.48		425.58
Total Buy / Sell Transactions						\$14,114.87	\$2,010.47

► RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2020	440.00
FAIR MARKET VALUE - 12/31/20 **	381.69

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

October 1, 2021 - October 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

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► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record. A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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November 1, 2021 - November 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

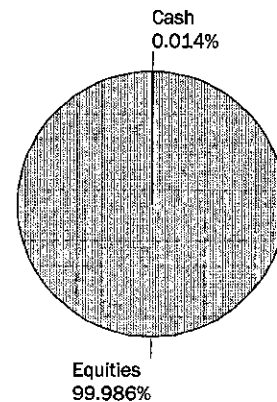
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$3.02	\$1.10
NET ACCOUNT BALANCE	3.02	1.10
Securities	10,401.10	8,123.32
TOTAL PRICED PORTFOLIO	10,401.10	8,123.32
Total Equity Holdings	\$10,404.12	\$8,124.42

PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. This rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

November 1, 2021 - November 30, 2021

PAGE 3 OF 8

ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ RETIREMENT ACCOUNT INFORMATION**

<u>DESCRIPTION</u>	<u>AMOUNT</u>
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2020	440.00
FAIR MARKET VALUE - 12/31/20 **	381.69

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

November 1, 2021 - November 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ANNOUNCEMENTS**IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

November 1, 2021 - November 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders." In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

November 1, 2021 - November 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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November 1, 2021 - November 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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November 1, 2021 - November 30, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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December 1, 2021 - December 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

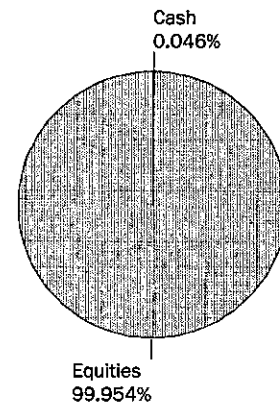
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$1.10	\$2.52
NET ACCOUNT BALANCE	1.10	2.52
Securities	8,123.32	5,443.98
TOTAL PRICED PORTFOLIO	8,123.32	5,443.98
Total Equity Holdings	\$8,124.42	\$5,446.50

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

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Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

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INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

December 1, 2021 - December 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
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T► **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	2,213	\$2.46	\$5,443.98	\$8,121.71	-33%		99.954%
Total Equities					\$5,443.98				99.954%
Total Cash (Net Portfolio Balance)					\$2.52				0.046%
TOTAL PRICED PORTFOLIO					\$5,446.50				

► **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
SOLD	12/06/21	C	IDEANOMICS INC COMMON STOCK CUSIP: 45166V106	1	\$1.4401		\$1.42
Total Buy / Sell Transactions							\$1.42

► **RETIREMENT ACCOUNT INFORMATION**

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00

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APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► RETIREMENT ACCOUNT INFORMATION (CONTINUED)

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2020	440.00
FAIR MARKET VALUE - 12/31/20 **	381.69

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS**IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation In Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders." In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonable ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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December 1, 2021 - December 31, 2021

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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January 1, 2022 - January 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

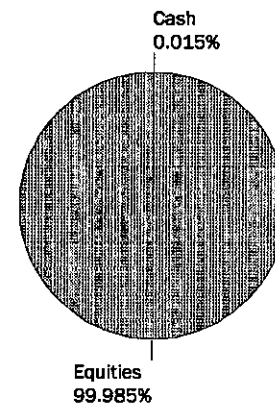
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	<u>OPENING BALANCE</u>	<u>CLOSING BALANCE</u>
Cash account	\$2.52	\$0.67
NET ACCOUNT BALANCE	2.52	0.67
Securities	5,443.98	4,565.78
TOTAL PRICED PORTFOLIO	5,443.98	4,565.78
Total Equity Holdings	\$5,446.50	\$4,566.45

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

January 1, 2022 - January 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	2,734	\$1.67	\$4,565.78	\$5,443.98	-16%		99.985%
Total Equities					\$4,565.78				99.985%
Total Cash (Net Portfolio Balance)					\$0.67				0.015%
TOTAL PRICED PORTFOLIO					\$4,566.45				

▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	01/19/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	\$2.0485	\$2.05	
BOUGHT	01/24/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	7	2.028	14.20	
BOUGHT	01/24/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	239	2.0279	484.67	
BOUGHT	01/25/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	24	1.825	43.80	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	01/25/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	100	1.8299	182.99	
BOUGHT	01/25/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	150	1.8276	274.14	
Total Buy / Sell Transactions						\$1,001.85	
FUNDS PAID AND RECEIVED							
JOURNAL	01/18/22	C	FAIR MARKET VALUE - 12/31/21 Security Number: 8661021	5,446.5			
CN2	01/20/22	C	CONTRIBUTION 2022 SEN(20220120240168) Security Number: 8661182	500			
CN2	01/21/22	C	CONTRIBUTION 2022 SEN(20220121196269) Security Number: 8661182	500			
CN2	01/20/22	C	ACH DEPOSIT SEN(20220120240168)				500.00
CN2	01/21/22	C	ACH DEPOSIT SEN(20220121196269)				500.00
Total Funds Paid And Received							\$1,000.00

January 1, 2022 - January 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ RETIREMENT ACCOUNT INFORMATION**

<u>DESCRIPTION</u>	<u>AMOUNT</u>
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	1,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

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January 1, 2022 - January 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011

- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:

- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonable ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 431.1 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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January 1, 2022 - January 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

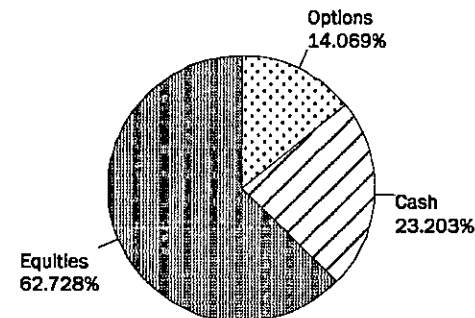
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$0.67	\$2,783.53
NET ACCOUNT BALANCE	0.67	2,783.53
Securities	4,565.78	9,212.80
TOTAL PRICED PORTFOLIO	4,565.78	9,212.80
Total Equity Holdings	\$4,566.45	\$11,996.33

PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 373-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL ASTR 04/14/22 5 ASTRA SPACE INC CLASS A EXP 04/14/2022	8BYCFJO	C	2	\$0.25	\$50.00		N/A		.417%
META MATLS INC COMMON STOCK	MMAT	C	3,500	2.15	7,525.00	4,565.78	65		62.728
CALL MMAT 04/14/22 2 META MATLS INC EXP 04/14/2022	8G36181	C	20	0.482	962.80		N/A		8.026
CALL MMAT 04/14/22 3 META MATLS INC EXP 04/14/2022	8G36183	C	30	0.225	675.00		N/A		5.627
Total Equities					\$7,525.00				62.728%
Total Options					\$1,687.80				14.069%
Total Cash (Net Portfolio Balance)					\$2,783.53				23.203%
TOTAL PRICED PORTFOLIO					\$11,996.33				

February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	02/09/22	C	CALL MMAT 04/14/22 2 META MATLS INC OPEN CONTRACT Security Number: 8G36181	16	\$0.30	\$480.79	
BOUGHT	02/10/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	14	1.6399	22.96	
SOLD	02/10/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	311	1.622		504.39
BOUGHT	02/23/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	2	0.13	26.10	
BOUGHT	02/23/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	2	0.13	26.10	
BOUGHT	02/23/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	2	0.13	26.10	
BOUGHT	02/24/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	63	1.6199	102.05	
BOUGHT	02/24/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	1.6199	809.95	

February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	02/24/22	C	CALL MMAT 04/14/22 2 META MATLS INC OPEN CONTRACT Security Number: 8G36181	4	0.24	96.20	
BOUGHT	02/24/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	1	0.12	12.05	
BOUGHT	02/24/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	3	0.13	39.15	
BOUGHT	02/24/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	10	0.129	129.49	
BOUGHT	02/28/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	1.50	750.00	
BOUGHT	02/28/22	C	CALL ASTR 04/14/22 5 ASTRA SPACE INC CLASS A OPEN CONTRACT Security Number: 8BYCFJ0	1	0.20	20.05	
BOUGHT	02/28/22	C	CALL ASTR 04/14/22 5 ASTRA SPACE INC CLASS A OPEN CONTRACT Security Number: 8BYCFJ0	1	0.20	20.05	

February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	02/28/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	4	0.16	64.20	
BOUGHT	02/28/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	6	0.16	96.29	
Total Buy / Sell Transactions						\$2,721.53	\$504.39
FUNDS PAID AND RECEIVED							
CN2	02/16/22	C	CONTRIBUTION 2022 SEN(20220216089443) Security Number: 8661182	5,000			
CN2	02/16/22	C	ACH DEPOSIT SEN(20220216089443)				5,000.00
Total Funds Paid And Received							\$5,000.00

▶ EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	02/25/22	03/01/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	98	\$1.83	\$179.34	
Total Executed Trades Pending Settlement							\$179.34	

February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us▶ **RETIREMENT ACCOUNT INFORMATION**

<u>DESCRIPTION</u>	<u>AMOUNT</u>
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

February 1, 2022 - February 28, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with Industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The Investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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March 1, 2022 - March 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

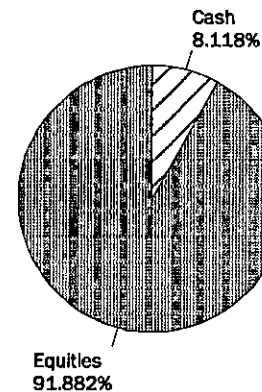
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	<u>OPENING BALANCE</u>	<u>CLOSING BALANCE</u>
Cash account	\$2,783.53	\$719.74
NET ACCOUNT BALANCE	2,783.53	719.74
Securities	9,212.80	8,146.26
TOTAL PRICED PORTFOLIO	9,212.80	8,146.26
Total Equity Holdings	\$11,996.33	\$8,866.00

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
**APEX CLEARING
CORPORATION**
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, (including a maximum of \$250,000 for cash claims). For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional Insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the firm's Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

March 1, 2022 - March 31, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA**Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	4,878	\$1.67	\$8,146.26	\$7,525.00	8%		91.882%
Total Equities					\$8,146.26				91.882%
Total Cash (Net Portfolio Balance)					\$719.74				8.118%
TOTAL PRICED PORTFOLIO					\$8,866.00				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	03/01/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	98	\$1.83	\$179.34	
BOUGHT	03/03/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	2.0285	1,014.25	
BOUGHT	03/03/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	779	2.0388	1,588.23	
BOUGHT	03/07/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	1.565	1.57	

March 1, 2022 - March 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	03/16/22	C	CALL MMAT 04/14/22 3 META MATLS INC OPEN CONTRACT Security Number: 8G36183	7	0.07	49.34	
SOLD	03/16/22	C	CALL ASTR 04/14/22 5 ASTRA SPACE INC CLASS A CLOSING CONTRACT Security Number: 8BYCFJO	2	0.25		49.88
SOLD	03/24/22	C	CALL MMAT 04/14/22 2 META MATLS INC CLOSING CONTRACT Security Number: 8G36181	20	0.25		498.97
SOLD	03/24/22	C	CALL MMAT 04/14/22 3 META MATLS INC CLOSING CONTRACT Security Number: 8G36183	37	0.06		220.09
Total Buy / Sell Transactions						\$2,832.73	\$768.94

▶ EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	03/30/22	04/01/22	C	CAMBER ENERGY INC COMMON STOCK CUSIP: 13200M508	830	\$0.86	\$713.80	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ EXECUTED TRADES PENDING SETTLEMENT (CONTINUED)

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SOLD	03/31/22	04/04/22	C	CAMBER ENERGY INC COMMON STOCK CUSIP: 13200M508	-830	0.8386		695.92

Total Executed Trades Pending Settlement**\$713.80****\$695.92**

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

March 1, 2022 - March 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**► ANNOUNCEMENTS****IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record. A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

March 1, 2022 - March 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

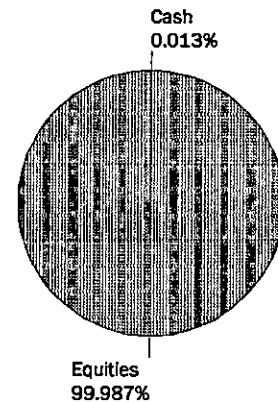
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$719.74	\$0.84
NET ACCOUNT BALANCE	719.74	0.84
Securities	8,146.26	6,433.20
TOTAL PRICED PORTFOLIO	8,146.26	6,433.20
Total Equity Holdings	\$8,866.00	\$6,434.04

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, (including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 1.5c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates, particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held. If available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Canceled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual cost lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial adviser.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	5,361	\$1.20	\$6,433.20	\$8,146.26	-21%		99.987%
Total Equities					\$6,433.20				99.987%
Total Cash (Net Portfolio Balance)					\$0.84				0.013%
TOTAL PRICED PORTFOLIO					\$6,434.04				

► **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	04/01/22	C	CAMBER ENERGY INC COMMON STOCK CUSIP: 13200M508	830	\$0.86	\$713.80	
SOLD	04/04/22	C	CAMBER ENERGY INC COMMON STOCK CUSIP: 13200M508	830	0.8386		695.92
BOUGHT	04/12/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	2	1.498	3.00	
BOUGHT	04/12/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	481	1.495	719.10	

April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	04/12/22	C	WESTWATER RESOURCES INC COMMON STOCK CUSIP: 961684206	1	1.6584	1.66	
BOUGHT	04/12/22	C	WESTWATER RESOURCES INC COMMON STOCK CUSIP: 961684206	421	1.66	698.86	
SOLD	04/12/22	C	WESTWATER RESOURCES INC COMMON STOCK CUSIP: 961684206	422	1.7101		721.60
Total Buy / Sell Transactions						\$2,136.42	\$1,417.52

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debt securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has

April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

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April 1, 2022 - April 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

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May 1, 2022 - May 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX

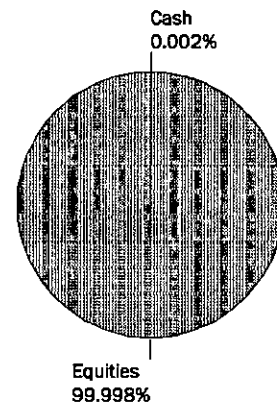
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$3,899.03	\$1.05
NET ACCOUNT BALANCE	3,899.03	1.05
Securities	31,200.00	68,417.28
TOTAL PRICED PORTFOLIO	31,200.00	68,417.28
Total Equity Holdings	\$35,099.03	\$68,418.33

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 571-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates, particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held. If available from quotation services, the rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us▶ **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	M	35,634	\$1.92	\$68,417.28	\$31,200.00	119%		99.998%
Total Equities					\$68,417.28				99.998%
Total Cash (Net Portfolio Balance)					\$1.05				0.002%
TOTAL PRICED PORTFOLIO					\$68,418.33				

▶ **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	05/03/22	M	PUT QQQ 05/02/22 312 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8BSQXHO	5	\$1.66	\$830.25	
SOLD	05/03/22	M	PUT QQQ 05/02/22 312 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8BSQXHO	5	1.65		824.73
BOUGHT	05/04/22	M	PUT QQQ 05/04/22 316 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SRRNCO	5	3.44	1,720.25	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	05/04/22	M	PUT QQQ 05/04/22 316 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SRRNC0	5	2.78		1,389.73
BOUGHT	05/05/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.23	1,230.00	
BOUGHT	05/05/22	M	PUT SPY 05/06/22 419 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PKTBC1	5	1.91	955.25	
SOLD	05/05/22	M	PUT SPY 05/06/22 419 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PKTBC1	5	2.15		1,074.74
BOUGHT	05/06/22	M	PUT QQQ 05/06/22 322 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8PKSQJ3	5	2.73	1,365.25	
SOLD	05/06/22	M	PUT QQQ 05/06/22 322 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8PKSQJ3	5	2.98		1,489.73
BOUGHT	05/09/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	1	0.0001		
BOUGHT	05/09/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	1	0.0001		

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Webull Financial LLC
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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	05/09/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	1	0.0001		
BOUGHT	05/09/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	1	0.0001		
BOUGHT	05/09/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	1	0.0001		
BOUGHT	05/09/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	1	0.0001		
BOUGHT	05/09/22	M	UWM HOLDINGS CORPORATION CLASS A COMMON STOCK CUSIP: 91823B109	1	0.0001		
BOUGHT	05/10/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.1791	1,179.10	
BOUGHT	05/10/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.1793	1,179.30	
BOUGHT	05/10/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.16	1,160.00	
SOLD	05/10/22	M	GENWORTH FINANCIAL INC COM CL A CUSIP: 37247D106	16	4.0124		64.18
SOLD	05/10/22	M	UWM HOLDINGS CORPORATION CLASS A COMMON STOCK CUSIP: 91823B109	1	3.7216		3.70

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	05/11/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	7	2.97	20.79	
BOUGHT	05/11/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	50	3.05	152.50	
BOUGHT	05/11/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	500	3.105	1,552.50	
BOUGHT	05/11/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	500	3.16	1,580.00	
SOLD	05/11/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	500	3.3608		1,680.32
BOUGHT	05/17/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.23	1,230.00	
BOUGHT	05/17/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.23	1,230.00	
BOUGHT	05/17/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	3	2.80	8.40	
BOUGHT	05/17/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	10	2.80	28.00	
SOLD	05/18/22	M	VINCO VENTURES INC COMMON STOCK CUSIP: 927330100	570	2.53		1,441.99

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	05/20/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	855	1.6887	1,443.84	
BOUGHT	05/23/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	1.67	1.67	
BOUGHT	05/27/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	1.6782	1.68	
BOUGHT	05/27/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	2,777	1.7999	4,998.32	
Total Buy / Sell Transactions						\$21,867.10	\$7,969.12
FUNDS PAID AND RECEIVED							
ACH	05/03/22	M	ACH DEPOSIT SEN(20220503210357)				\$2,500.00
ACH	05/13/22	M	ACH DEPOSIT SEN(20220512404535)				2,500.00
ACH	05/25/22	M	ACH DEPOSIT SEN(20220524341754)				5,000.00
Total Funds Paid And Received							\$10,000.00

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at apexclearing.com/disclosures/

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange

May 1, 2022 - May 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an Investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

June 1, 2022 - June 30, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX

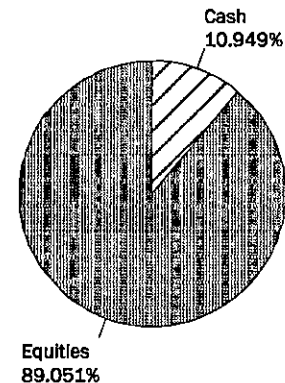
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$1.05	\$5,000.60
NET ACCOUNT BALANCE	1.05	5,000.60
Securities	68,417.28	40,671.61
TOTAL PRICED PORTFOLIO	68,417.28	40,671.61
Total Equity Holdings	\$68,418.33	\$45,672.21

PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates, particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

June 1, 2022 - June 30, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us▶ **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	M	39,487	\$1.03	\$40,671.61	\$68,417.28	-41%		89.051%
Total Equities					\$40,671.61				89.051%
Total Cash (Net Portfolio Balance)					\$5,000.60				10.949%
TOTAL PRICED PORTFOLIO					\$45,672.21				

▶ **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	06/09/22	M	CALL NFLX 06/10/22 210 NETFLIX INC OPEN CONTRACT Security Number: 8PRRH5	1	\$1.70	\$170.05	
BOUGHT	06/09/22	M	PUT SPY 06/10/22 408 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SQWNS7	2	2.40	480.10	
BOUGHT	06/09/22	M	CALL TSLA 06/10/22 760 TESLA INC OPEN CONTRACT Security Number: 8SQXCQ8	1	8.65	865.05	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	06/09/22	M	PUT SPY 06/08/22 412 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SRCNB4	2	0.98	196.10	
BOUGHT	06/09/22	M	PUT SPY 06/08/22 414 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SRCNB6	2	1.08	216.10	
SOLD	06/09/22	M	CALL NFLX 06/10/22 210 NETFLIX INC CLOSING CONTRACT Security Number: 8PRRHL5	1	1.60		159.93
SOLD	06/09/22	M	PUT SPY 06/10/22 408 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SQWNS7	2	1.82		363.88
SOLD	06/09/22	M	CALL TSLA 06/10/22 760 TESLA INC CLOSING CONTRACT Security Number: 8SQXCQ8	1	9.10		909.91
SOLD	06/09/22	M	PUT SPY 06/08/22 412 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SRCNB4	2	1.01		201.88
SOLD	06/09/22	M	PUT SPY 06/08/22 414 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SRCNB6	2	1.11		221.87

I N D I V I D U A L A C C O U N T

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	06/10/22	M	CALL SPY 06/10/22 410 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SQWNS1	1	1.85	185.05	
BOUGHT	06/10/22	M	CALL SPY 06/10/22 410 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SQWNS1	1	2.30	230.05	
BOUGHT	06/10/22	M	PUT QQQ 06/10/22 303 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SVHDF9	1	2.21	221.05	
SOLD	06/10/22	M	CALL SPY 06/10/22 410 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SQWNS1	1	1.75		174.93
SOLD	06/10/22	M	CALL SPY 06/10/22 410 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SQWNS1	1	2.34		233.93
SOLD	06/10/22	M	PUT QQQ 06/10/22 303 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SVHDF9	1	2.24		223.93
BOUGHT	06/13/22	M	CALL QQQ 06/10/22 290 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SQVWN2	1	2.23	223.05	

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June 1, 2022 - June 30, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	06/13/22	M	CALL QQQ 06/10/22 290 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SQVWN2	1	1.86		185.93
BOUGHT	06/14/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	366	1.5099	552.62	
BOUGHT	06/14/22	M	CALL QQQ 06/13/22 279 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8LJKVS8	1	1.26	126.05	
BOUGHT	06/14/22	M	PUT META 06/17/22 165 META PLATFORMS INC CL A OPEN CONTRACT Security Number: 8PSMJH5	1	3.70	370.05	
BOUGHT	06/14/22	M	CALL SPY 06/13/22 378 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTK0	2	1.49	298.10	
BOUGHT	06/14/22	M	CALL SPY 06/13/22 379 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTK2	1	1.35	135.05	
BOUGHT	06/14/22	M	CALL SPY 06/13/22 379 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTK2	1	1.21	121.05	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	06/14/22	M	CALL SPY 06/13/22 379 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTK2	1	1.72	172.05	
SOLD	06/14/22	M	CALL QQQ 06/13/22 279 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8LJKVS8	1	1.29		128.93
SOLD	06/14/22	M	PUT META 06/17/22 165 META PLATFORMS INC CL A CLOSING CONTRACT Security Number: 8PSMJH5	1	3.95		394.93
SOLD	06/14/22	M	CALL SPY 06/13/22 378 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTK0	2	1.51		301.87
SOLD	06/14/22	M	CALL SPY 06/13/22 379 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTK2	1	1.12		111.93
SOLD	06/14/22	M	CALL SPY 06/13/22 379 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTK2	1	1.50		149.93
SOLD	06/14/22	M	CALL SPY 06/13/22 379 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTK2	1	1.78		177.93

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	06/15/22	M	CALL SPY 06/15/22 375 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SRWXJ1	1	3.11	311.05	
BOUGHT	06/15/22	M	PUT SPY 06/15/22 372 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTP5	1	3.01	301.05	
BOUGHT	06/15/22	M	PUT SPY 06/15/22 373 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTP7	1	3.51	351.05	
BOUGHT	06/15/22	M	CALL SPY 06/15/22 377 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYTQ0	1	3.47	347.05	
SOLD	06/15/22	M	CALL SPY 06/15/22 375 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SRWXJ1	1	2.98		297.93
SOLD	06/15/22	M	PUT SPY 06/15/22 372 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTP5	1	3.06		305.93
SOLD	06/15/22	M	PUT SPY 06/15/22 373 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTP7	1	3.51		350.93

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	06/15/22	M	CALL SPY 06/15/22 377 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYTQ0	1	3.24		323.93
BOUGHT	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSXW7	2	2.04	408.10	
BOUGHT	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSXW7	4	2.49	996.20	
BOUGHT	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSXW7	4	2.34	936.20	
BOUGHT	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSXW7	4	2.34	936.20	
BOUGHT	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSXW7	4	2.21	884.20	
BOUGHT	06/16/22	M	CALL AMZN 06/24/22 110 AMAZON.COM INC ADJ 20:1 STOCK SPLIT OPEN CONTRACT Security Number: 8STZDH7	4	2.15	860.20	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SSXV7	9	2.34		2,105.48
SOLD	06/16/22	M	PUT QQQ 06/15/22 278 INVESCO QQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SSXV7	9	2.46		2,213.47
SOLD	06/16/22	M	CALL AMZN 06/24/22 110 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CLOSING CONTRACT Security Number: 8STZDH7	4	2.30		919.76
BOUGHT	06/17/22	M	CALL SPY 06/17/22 371 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8LJQRG1	4	2.55	1,020.20	
BOUGHT	06/17/22	M	PUT SPY 06/17/22 366 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SRWXT8	4	2.90	1,160.20	
SOLD	06/17/22	M	CALL SPY 06/17/22 371 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8LJQRG1	4	2.70		1,079.76
SOLD	06/17/22	M	PUT SPY 06/17/22 366 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SRWXT8	4	3.05		1,219.76

June 1, 2022 - June 30, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	06/22/22	M	PUT SPY 06/21/22 372 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STKYM1	10	1.28	1,280.49	
SOLD	06/22/22	M	PUT SPY 06/21/22 372 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STKYM1	10	1.34		1,339.45
BOUGHT	06/23/22	M	CALL SPY 06/22/22 373 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8GDNPB7	4	1.37	548.20	
BOUGHT	06/23/22	M	CALL AAPL 06/24/22 140 APPLE INC OPEN CONTRACT Security Number: 8SRDFY4	4	0.79	316.20	
SOLD	06/23/22	M	CALL SPY 06/22/22 373 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8GDNPB7	4	1.38		551.74
SOLD	06/23/22	M	CALL AAPL 06/24/22 140 APPLE INC CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST Security Number: 8SRDFY4	4	0.6875		274.77
BOUGHT	06/24/22	M	CALL SPY 06/24/22 378 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SRTP7	1	1.79	179.05	

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ACCOUNT NUMBER 5MU-73363-13 RR WEA

CHRISTOPHER COX



Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	06/24/22	M	CALL AMZN 07/01/22 113 AMAZON.COM INC ADJ 20:1 STOCK SPLIT OPEN CONTRACT Security Number: 8STZFY9	4	2.59	1,036.20	
SOLD	06/24/22	M	CALL SPY 06/24/22 378 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SRITP7	1	1.81		180.93
SOLD	06/24/22	M	CALL AMZN 07/01/22 113 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CLOSING CONTRACT Security Number: 8STZFY9	4	2.65		1,059.76
BOUGHT	06/28/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	2	1.1999	2.40	
BOUGHT	06/28/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	485	1.1999	581.95	
BOUGHT	06/28/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	1.248	1,248.00	
BOUGHT	06/28/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	2,000	1.45	2,900.00	
Total Buy / Sell Transactions						\$21,165.76	\$16,165.31

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PAID AND RECEIVED							
ACH	06/03/22	M	ACH DEPOSIT SEN(20220603371842)				\$5,000.00
ACH	06/24/22	M	ACH DEPOSIT SEN(20220624402832)				5,000.00
Total Funds Paid And Received							\$10,000.00

▶ EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	06/30/22	07/01/22	M	CALL SPY 06/30/22 380 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PWZXM5	4	\$0.29	\$116.20	
SOLD	06/30/22	07/01/22	M	CALL SPY 06/30/22 380 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PWZXM5	-4	0.29		115.78
BOUGHT	06/30/22	07/01/22	M	PUT SPY 06/30/22 373 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STTXP2	4	1.15	460.20	
SOLD	06/30/22	07/01/22	M	PUT SPY 06/30/22 373 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STTXP2	-4	1.21		483.77
Total Executed Trades Pending Settlement							\$576.40	\$599.55

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debt securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at apexclearing.com/disclosures/

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

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customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange

I N D I V I D U A L A C C O U N T

June 1, 2022 - June 30, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

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June 1, 2022 - June 30, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX



Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

I N D I V I D U A L A C C O U N T

July 1, 2022 - July 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX

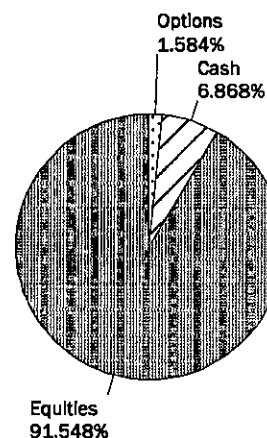
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$5,000.60	\$2,750.30
NET ACCOUNT BALANCE	5,000.60	2,750.30
Securities	40,671.61	37,293.96
TOTAL PRICED PORTFOLIO	40,671.61	37,293.96
Total Equity Holdings	\$45,672.21	\$40,044.26

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debt in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 372-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or per value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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ACCOUNT NUMBER 5MU-73363-13 RR WEA

CHRISTOPHER COX



Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	M	40,733	\$0.90	\$36,659.70	\$40,671.61	-10%		91.548%
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	M	22	0.288	634.26		N/A		1.584
Total Equities					\$36,659.70				91.548%
Total Options					\$634.26				1.584%
Total Cash (Net Portfolio Balance)					\$2,750.30				6.868%
TOTAL PRICED PORTFOLIO					\$40,044.26				

▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	07/01/22	M	CALL SPY 06/30/22 380 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PWZXM5	4	\$0.29	\$116.20	
BOUGHT	07/01/22	M	PUT SPY 06/30/22 373 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STTXP2	4	1.15	460.20	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/01/22	M	CALL SPY 06/30/22 380 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PWZXM5	4	0.29		115.78
SOLD	07/01/22	M	PUT SPY 06/30/22 373 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STTXP2	4	1.21		483.77
BOUGHT	07/05/22	M	PUT SPY 07/05/22 376 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8NTYBP1	1	1.92	192.05	
BOUGHT	07/05/22	M	PUT NVDA 07/01/22 145 NVIDIA CORPORATION OPEN CONTRACT Security Number: 8SSXGC5	4	0.57	228.20	
BOUGHT	07/05/22	M	PUT QQQ 07/01/22 278 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSXWL9	2	1.38	276.10	
BOUGHT	07/05/22	M	CALL SPY 07/01/22 380 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSYVK8	2	0.95	190.10	
BOUGHT	07/05/22	M	CALL TSLA 07/01/22 700 TESLA INC OPEN CONTRACT Security Number: 8SSZMC8	1	1.89	189.05	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/05/22	M	PUT SPY 07/05/22 376 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8NTYBP1	1	1.86		185.93
SOLD	07/05/22	M	PUT NVDA 07/01/22 145 NVIDIA CORPORATION CLOSING CONTRACT Security Number: 8SSXGC5	4	0.62		247.78
SOLD	07/05/22	M	PUT QQQ 07/01/22 278 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SSXWL9	2	1.55		309.87
SOLD	07/05/22	M	CALL SPY 07/01/22 380 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSYVK8	2	0.67		133.87
SOLD	07/05/22	M	CALL TSLA 07/01/22 700 TESLA INC CLOSING CONTRACT Security Number: 8SSZMC8	1	2.25		224.93
BOUGHT	07/06/22	M	PUT QQQ 07/05/22 276 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8PQVSD5	10	1.19	1,190.49	
BOUGHT	07/06/22	M	CALL AMZN 07/08/22 112 AMAZON.COM INC ADJ 20:1 STOCK SPLIT OPEN CONTRACT Security Number: 8STZHN4	10	1.62	1,620.49	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/06/22	M	PUT QQQ 07/05/22 276 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8PQVSD5	10	1.22		1,219.46
SOLD	07/06/22	M	CALL AMZN 07/08/22 112 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CLOSING CONTRACT Security Number: 8STZHN4	10	1.83		1,829.44
BOUGHT	07/07/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	1.0399	1.04	
BOUGHT	07/07/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	276	1.0399	287.01	
BOUGHT	07/08/22	M	PUT MSFT 07/08/22 265 MICROSOFT CORP OPEN CONTRACT Security Number: 8NFCWD8	1	0.91	91.05	
SOLD	07/08/22	M	PUT MSFT 07/08/22 265 MICROSOFT CORP CLOSING CONTRACT Security Number: 8NFCWD8	1	0.92		91.93
BOUGHT	07/11/22	M	CALL BABA 07/15/22 130 ALIBABA GROUP HOLDING LIMITED OPEN CONTRACT Security Number: 8CZRBW4	4	2.24	896.20	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/11/22	M	CALL SPY 07/08/22 387.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8NGDDX4	1	1.06	106.05	
BOUGHT	07/11/22	M	CALL SPY 07/08/22 387.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8NGDDX4	4	1.03	412.20	
BOUGHT	07/11/22	M	PUT SPY 07/11/22 387 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PSBDH2	1	1.36	136.05	
BOUGHT	07/11/22	M	CALL SPY 07/11/22 390 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNNK1	1	1.51	151.05	
BOUGHT	07/11/22	M	CALL SPY 07/11/22 391 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNNK3	1	1.54	154.05	
SOLD	07/11/22	M	CALL BABA 07/15/22 130 ALIBABA GROUP HOLDING LIMITED CLOSING CONTRACT Security Number: 8CZRBW4	4	2.31		923.75
SOLD	07/11/22	M	CALL SPY 07/08/22 387.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8NGDDX4	1	1.03		102.93

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/11/22	M	CALL SPY 07/08/22 387.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8NGDDX4	4	1.10		439.76
SOLD	07/11/22	M	PUT SPY 07/11/22 387 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PSBDH2	1	1.40		139.93
SOLD	07/11/22	M	CALL SPY 07/11/22 390 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSNNK1	1	1.57		156.93
SOLD	07/11/22	M	CALL SPY 07/11/22 391 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSNNK3	1	1.58		157.93
BOUGHT	07/12/22	M	PUT NVDA 07/15/22 150 NVIDIA CORPORATION OPEN CONTRACT Security Number: 8BVGW1	5	3.25	1,625.25	
BOUGHT	07/12/22	M	CALL SPY 07/11/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PQVWF5	2	0.78	156.10	
BOUGHT	07/12/22	M	CALL SPY 07/11/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PQVWF5	10	0.96	960.49	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PRDGV5	10	0.93	930.49	
BOUGHT	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PRDGV5	10	0.80	800.49	
BOUGHT	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PRDGV5	20	0.81	1,620.98	
BOUGHT	07/12/22	M	CALL GME 07/15/22 132 GAMESTOP CORP OPEN CONTRACT Security Number: 8PSZDK9	1	5.40	540.05	
BOUGHT	07/12/22	M	PUT SPY 07/11/22 385 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNNK0	10	0.52	520.49	
BOUGHT	07/12/22	M	PUT SPY 07/13/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP7	5	2.73	1,365.25	
SOLD	07/12/22	M	PUT NVDA 07/15/22 150 NVIDIA CORPORATION CLOSING CONTRACT Security Number: 8BVGW1	5	3.39		1,694.69

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/12/22	M	CALL SPY 07/11/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PQVWF5	10	1.04		1,039.40
SOLD	07/12/22	M	CALL SPY 07/11/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PQVWF5	2	0.81		161.88
SOLD	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PRDGV5	9	0.90		809.52
SOLD	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PRDGV5	10	0.72		719.47
SOLD	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PRDGV5	20	0.86		1,718.94
SOLD	07/12/22	M	PUT SPY 07/11/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PRDGV5	1	0.89		88.93
SOLD	07/12/22	M	CALL GME 07/15/22 132 GAMESTOP CORP CLOSING CONTRACT Security Number: 8PSZDK9	1	5.83		582.92

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/12/22	M	PUT SPY 07/11/22 385 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSNNK0	10	0.51		509.46
SOLD	07/12/22	M	PUT SPY 07/13/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP7	5	2.91		1,454.70
BOUGHT	07/13/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	160	1.0063	161.01	
BOUGHT	07/13/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	256	1.0062	257.59	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 385 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PQVWQ4	1	2.18	218.05	
BOUGHT	07/13/22	M	PUT SPY 07/13/22 382 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP5	1	2.26	226.05	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP6	3	2.85	855.15	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP8	1	2.43	243.05	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP8	1	2.10	210.05	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP8	1	2.01	201.05	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP8	1	2.44	244.05	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP8	1	1.95	195.05	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP8	1	2.25	225.05	
BOUGHT	07/13/22	M	CALL AAPL 07/15/22 149 APPLE INC OPEN CONTRACT Security Number: 8SVZPL1	10	1.14	1,140.49	
BOUGHT	07/13/22	M	CALL AAPL 07/15/22 149 APPLE INC OPEN CONTRACT Security Number: 8SVZPL1	10	0.82	820.49	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/13/22	M	CALL AAPL 07/15/22 149 APPLE INC OPEN CONTRACT Security Number: 8SVZPL1	10	1.38	1,380.49	
BOUGHT	07/13/22	M	CALL SPY 07/13/22 383 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJCD1	1	2.12	212.05	
SOLD	07/13/22	M	CALL SPY 07/13/22 385 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PQVWQ4	1	2.08		207.93
SOLD	07/13/22	M	PUT SPY 07/13/22 382 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP5	1	2.29		228.93
SOLD	07/13/22	M	CALL SPY 07/13/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP6	3	2.99		896.81
SOLD	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP8	1	2.32		231.93
SOLD	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP8	1	1.97		196.93

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
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► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP8	1	1.90		189.93
SOLD	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP8	1	2.06		205.93
SOLD	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP8	1	2.47		246.93
SOLD	07/13/22	M	CALL SPY 07/13/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP8	1	2.29		228.93
SOLD	07/13/22	M	CALL AAPL 07/15/22 149 APPLE INC CLOSING CONTRACT Security Number: 8SVZPL1	30	0.72		2,158.41
SOLD	07/13/22	M	CALL SPY 07/13/22 383 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJCD1	1	2.13		212.93
BOUGHT	07/14/22	M	CALL AMD 07/15/22 80 ADVANCED MICRO DEVICES INC OPEN CONTRACT Security Number: 8KQVTL7	1	0.71	71.05	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/14/22	M	PUT SPY 07/13/22 376 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STSLP1	5	2.11	1,055.25	
BOUGHT	07/14/22	M	CALL SPY 07/13/22 381 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJCC9	1	1.08	108.05	
BOUGHT	07/14/22	M	PUT AMD 07/15/22 77 ADVANCED MICRO DEVICES INC OPEN CONTRACT Security Number: 8SXKFF3	5	1.13	565.25	
SOLD	07/14/22	M	CALL AMD 07/15/22 80 ADVANCED MICRO DEVICES INC CLOSING CONTRACT Security Number: 8KQVTL7	1	0.75		74.93
SOLD	07/14/22	M	PUT SPY 07/13/22 376 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STSLP1	5	2.11		1,054.71
SOLD	07/14/22	M	CALL SPY 07/13/22 381 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJCC9	1	0.85		84.93
SOLD	07/14/22	M	PUT AMD 07/15/22 77 ADVANCED MICRO DEVICES INC CLOSING CONTRACT Security Number: 8SXKFF3	5	1.01		504.72

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/15/22	M	PUT QQQ 07/15/22 286 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSDNP3	5	1.62	810.25	
BOUGHT	07/15/22	M	PUT QQQ 07/15/22 286 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SSDNP3	5	1.96	980.25	
BOUGHT	07/15/22	M	CALL SPY 07/15/22 378 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSDXV6	1	1	100.05	
BOUGHT	07/15/22	M	CALL QCOM 07/22/22 138 QUALCOMM INC OPEN CONTRACT Security Number: 8SVWDP4	1	3.40	340.05	
SOLD	07/15/22	M	PUT QQQ 07/15/22 286 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SSDNP3	10	1.96		1,959.39
SOLD	07/15/22	M	CALL SPY 07/15/22 378 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSDXV6	1	1.08		107.93
SOLD	07/15/22	M	CALL QCOM 07/22/22 138 QUALCOMM INC CLOSING CONTRACT Security Number: 8SVWDP4	1	3.50		349.93

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/18/22	M	CALL ISRG 07/22/22 220 INTUITIVE INTUITIVE INC OPEN CONTRACT Security Number: 8NWSWW8	1	3.29	329.05	
BOUGHT	07/18/22	M	PUT SPY 07/15/22 382.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PTHQR5	2	1.31	262.10	
BOUGHT	07/18/22	M	CALL SPY 07/15/22 386 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SQTLLV0	1	0.57	57.05	
BOUGHT	07/18/22	M	PUT SPY 07/15/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSDXW1	10	0.97	970.50	
BOUGHT	07/18/22	M	CALL TSLA 07/15/22 735 TESLA INC OPEN CONTRACT Security Number: 8STLLN9	2	1.97	394.10	
BOUGHT	07/18/22	M	CALL TSLA 07/15/22 735 TESLA INC OPEN CONTRACT Security Number: 8STLLN9	2	4.50	900.10	
SOLD	07/18/22	M	PUT SPY 07/15/22 382.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PTHQR5	2	1.29		257.88

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/18/22	M	CALL SPY 07/15/22 386 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SQTLLV0	1	0.48		47.93
SOLO	07/18/22	M	PUT SPY 07/15/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSDXW1	10	0.84		839.47
SOLD	07/18/22	M	CALL TSLA 07/15/22 735 TESLA INC CLOSING CONTRACT Security Number: 8STLLN9	4	2.41		963.76
BOUGHT	07/19/22	M	CALL SPY 07/20/22 387 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWTXV6	1	2.17	217.05	
BOUGHT	07/19/22	M	PUT QQQ 07/18/22 295 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8STSCS3	10	0.95	950.49	
BOUGHT	07/19/22	M	PUT SPY 07/18/22 387 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJCR2	10	0.96	960.49	
BOUGHT	07/19/22	M	CALL SPY 07/18/22 389 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJCR3	5	0.80	400.25	

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44 Wall Street, New York, NY 10005

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/19/22	M	CALL SPY 07/20/22 387 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BWTXV6	1	2.06		205.93
SOLD	07/19/22	M	CALL ISRG 07/22/22 220 INTUITIVE INTUITIVE INC CLOSING CONTRACT Security Number: 8NWSWW8	1	3.35		334.93
SOLD	07/19/22	M	PUT QQQ 07/18/22 295 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8STSCS3	10	1.16		1,159.46
SOLD	07/19/22	M	PUT SPY 07/18/22 387 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJCR2	10	0.83		829.44
SOLD	07/19/22	M	CALL SPY 07/18/22 389 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJCR3	5	0.66		329.73
BOUGHT	07/20/22	M	PUT SPY 07/20/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWTTT0	5	1.74	870.25	
BOUGHT	07/20/22	M	PUT SPY 07/20/22 384 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWTTT0	6	1.41	846.29	

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44 Wall Street, New York, NY 10005

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/20/22	M	PUT SPY 07/20/22 387 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWVBK5	1	1.98	198.05	
BOUGHT	07/20/22	M	CALL QQQ 07/20/22 298 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8BZNYP2	3	1.59	477.15	
BOUGHT	07/20/22	M	CALL META 07/22/22 180 META PLATFORMS INC CL A OPEN CONTRACT Security Number: 8PSMRR6	1	2.19	219.05	
BOUGHT	07/20/22	M	PUT QQQ 07/20/22 293 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SWHKJ0	10	1.46	1,460.50	
SOLD	07/20/22	M	PUT SPY 07/20/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BWTTT0	6	1.52		911.65
SOLD	07/20/22	M	PUT SPY 07/20/22 384 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BWTTT0	5	1.65		824.68
SOLD	07/20/22	M	PUT SPY 07/20/22 387 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BWVBK5	1	2.01		200.93

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customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/20/22	M	CALL QQQ 07/20/22 298 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8BZNY2	3	1.65		494.82
SOLD	07/20/22	M	CALL META 07/22/22 180 META PLATFORMS INC CL A CLOSING CONTRACT Security Number: 8PSMRR6	1	2.23		222.93
SOLD	07/20/22	M	PUT QQQ 07/20/22 293 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST Security Number: 8SWHKJ0	10	1.464		1,463.41
BOUGHT	07/21/22	M	CALL SPY 07/20/22 395 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWVCF2	1	0.85	85.05	
BOUGHT	07/21/22	M	PUT SPY 07/20/22 395 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWVCQ5	3	1.10	330.15	
BOUGHT	07/21/22	M	PUT SPY 07/20/22 395 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BWVCQ5	3	1.08	324.15	
BOUGHT	07/21/22	M	CALL SPY 07/20/22 394 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8BZWQK8	2	1.02	204.10	

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44 Wall Street, New York, NY 10005

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/21/22	M	CALL SPY 07/20/22 396 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 83ZWQP8	1	1.05	105.05	
BOUGHT	07/21/22	M	PUT SPY 08/19/22 385 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8NTCBN9	1	6.14	614.05	
BOUGHT	07/21/22	M	CALL NFLX 07/22/22 220 NETFLIX INC OPEN CONTRACT Security Number: 8PHNLY1	1	3.24	324.05	
BOUGHT	07/21/22	M	CALL AMZN 07/22/22 120 AMAZON.COM INC ADJ 20:1 STOCK SPLIT OPEN CONTRACT Security Number: 8STZLZ5	3	1.52	456.15	
BOUGHT	07/21/22	M	PUT SQ 07/22/22 69 BLOCK INC OPEN CONTRACT Security Number: 8SVPRL2	1	1.06	106.05	
BOUGHT	07/21/22	M	PUT QQQ 07/20/22 299 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8SWHKJ4	1	1.26	126.05	
BOUGHT	07/21/22	M	PUT SPY 07/20/22 391 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC6	1	1.12	112.05	

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/21/22	M	PUT SPY 07/20/22 391 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC6	2	1.41	282.10	
BOUGHT	07/21/22	M	PUT SPY 07/20/22 391 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC6	2	1.28	256.10	
BOUGHT	07/21/22	M	PUT SPY 07/20/22 393 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC8	2	0.60	120.10	
BOUGHT	07/21/22	M	PUT SPY 07/20/22 393 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC8	3	0.87	261.15	
BOUGHT	07/21/22	M	CALL SPY 07/20/22 397 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC9	3	0.55	165.15	
BOUGHT	07/21/22	M	CALL SPY 07/20/22 397 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDC9	3	0.63	189.15	
BOUGHT	07/21/22	M	PUT SPY 07/22/22 392.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDM4	2	2.08	416.10	

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/21/22	M	PUT SPY 07/22/22 392.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDM4	2	1.98	396.10	
BOUGHT	07/21/22	M	PUT SPY 07/22/22 392.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDM4	1	1.98	198.05	
SOLD	07/21/22	M	CALL SPY 07/20/22 395 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BWVCF2	1	0.89		88.93
SOLD	07/21/22	M	PUT SPY 07/20/22 395 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BWVCQ5	6	1.13		677.63
SOLD	07/21/22	M	CALL SPY 07/20/22 394 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BZWQK8	2	1.01		201.88
SOLD	07/21/22	M	CALL SPY 07/20/22 396 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8BZWQP8	1	0.99		98.93
SOLD	07/21/22	M	PUT SPY 08/19/22 385 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8NTCBN9	1	6.32		631.92

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/21/22	M	CALL NFLX 07/22/22 220 NETFLIX INC CLOSING CONTRACT Security Number: 8PHNLY1	1	3.30		329.93
SOLD	07/21/22	M	CALL AMZN 07/22/22 120 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CLOSING CONTRACT Security Number: 8STZLZ5	3	1.62		485.82
SOLD	07/21/22	M	PUT SQ 07/22/22 69 BLOCK INC CLOSING CONTRACT Security Number: 8SVPRL2	1	1.10		109.93
SOLD	07/21/22	M	PUT QQQ 07/20/22 299 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8SWHKJ4	1	1.10		109.93
SOLD	07/21/22	M	PUT SPY 07/20/22 391 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDC6	1	1.07		106.93
SOLD	07/21/22	M	PUT SPY 07/20/22 391 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDC6	4	1.36		543.77
SOLD	07/21/22	M	PUT SPY 07/20/22 393 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDC8	3	0.88		263.83

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► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/21/22	M	PUT SPY 07/20/22 393 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDC8	2	0.55		109.88
SOLD	07/21/22	M	CALL SPY 07/20/22 397 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDC9	3	0.54		161.83
SOLD	07/21/22	M	CALL SPY 07/20/22 397 STANDARD & POORS DEPOSITORY CLOSING CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST Security Number: 8SWJDC9	3	0.61666667		184.82
SOLD	07/21/22	M	PUT SPY 07/22/22 392.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDM4	2	2.11		421.87
SOLD	07/21/22	M	PUT SPY 07/22/22 392.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDM4	1	1.94		193.93
SOLD	07/21/22	M	PUT SPY 07/22/22 392.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDM4	2	2.01		401.88

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/22/22	M	PUT GOOG 07/22/22 112.25 ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT OPEN CONTRACT Security Number: 8GLPMK1	2	1.15	230.10	
BOUGHT	07/22/22	M	CALL COIN 07/22/22 77 COINBASE GLOBAL INC OPEN CONTRACT Security Number: 8NJPFK2	5	0.62	310.25	
BOUGHT	07/22/22	M	CALL QQQ 07/22/22 305 INVESCO QQQ TRUST SERIES 1 OPEN CONTRACT Security Number: 8PLVFQ2	5	2.18	1,090.25	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 395 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDK1	2	1.14	228.10	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 396 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDT7	1	1.82	182.05	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 396 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDT7	3	1.66	498.15	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 397 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDV3	1	1.99	199.05	

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► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/22/22	M	CALL SPY 07/22/22 398 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDV5	3	1.63	489.15	
BOUGHT	07/22/22	M	CALL SPY 07/22/22 400 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDV9	2	0.97	194.10	
BOUGHT	07/22/22	M	CALL GS 07/29/22 330 GOLDMAN SACHS GROUP INC OPEN CONTRACT Security Number: 8PRMRL9	2	4.09	818.10	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNPF2	1	2.01	201.05	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNPF2	2	1.82	364.10	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNPF2	5	2.11	1,055.25	
BOUGHT	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SSNPF2	6	2.15	1,290.29	

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/22/22	M	PUT GOOG 07/22/22 112.25 ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT CLOSING CONTRACT Security Number: 8GLPMK1	2	1.10		219.88
SOLD	07/22/22	M	CALL COIN 07/22/22 77 COINBASE GLOBAL INC CLOSING CONTRACT Security Number: 8NUPFK2	5	0.68		339.73
SOLD	07/22/22	M	CALL QQQ 07/22/22 305 INVESCO QQQ TRUST SERIES 1 CLOSING CONTRACT Security Number: 8PLVFQ2	5	2.28		1,139.68
SOLD	07/22/22	M	PUT SPY 07/22/22 395 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDK1	2	1.19		237.88
SOLD	07/22/22	M	PUT SPY 07/22/22 396 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDT7	3	1.57		470.82
SOLD	07/22/22	M	PUT SPY 07/22/22 396 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDT7	1	1.86		185.93
SOLD	07/22/22	M	PUT SPY 07/22/22 397 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDV3	1	2.13		212.93

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customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/22/22	M	CALL SPY 07/22/22 398 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDV5	3	1.67		500.82
SOLD	07/22/22	M	CALL SPY 07/22/22 400 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDV9	2	0.90		179.88
SOLD	07/22/22	M	CALL GS 07/29/22 330 GOLDMAN SACHS GROUP INC CLOSING CONTRACT Security Number: 8PRMRL9	2	3.40		679.87
SOLD	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSNPF2	11	2.41		2,650.37
SOLD	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSNPF2	1	2.09		208.93
SOLD	07/22/22	M	PUT SPY 07/22/22 393 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SSNPF2	2	1.86		371.88
BOUGHT	07/25/22	M	PUT MSFT 07/22/22 260 MICROSOFT CORP OPEN CONTRACT Security Number: 8PGPTH3	5	0.38	190.25	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/25/22	M	PUT MSFT 07/22/22 260 MICROSOFT CORP OPEN CONTRACT Security Number: 8PGPTH3	5	0.32	160.25	
BOUGHT	07/25/22	M	PUT SPY 07/22/22 398 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PNSDV6	1	0.91	91.05	
BOUGHT	07/25/22	M	PUT SPY 07/22/22 397.50 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8SWJDM6	2	0.93	186.10	
SOLD	07/25/22	M	PUT MSFT 07/22/22 260 MICROSOFT CORP CLOSING CONTRACT Security Number: 8PGPTH3	10	0.36		359.48
SOLD	07/25/22	M	PUT SPY 07/22/22 398 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PNSDV6	1	0.97		96.93
SOLD	07/25/22	M	PUT SPY 07/22/22 397.50 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8SWJDM6	2	0.92		183.88
BOUGHT	07/26/22	M	CALL SPY 07/25/22 395 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8GDRZJO	2	0.83	166.10	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/26/22	M	CALL OXY 07/29/22 64 OCCIDENTAL PETROLEUM CORP OPEN CONTRACT Security Number: 8PSPQM1.	1	1.50	150.05	
BOUGHT	07/26/22	M	PUT SPY 07/25/22 394 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STTYG0	5	1.03	515.25	
BOUGHT	07/26/22	M	PUT SPY 07/25/22 394 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STTYG0	5	0.80	400.25	
SOLD	07/26/22	M	CALL SPY 07/25/22 395 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8GDRZJ0	2	0.87		173.87
SOLD	07/26/22	M	CALL OXY 07/29/22 64 OCCIDENTAL PETROLEUM CORP CLOSING CONTRACT Security Number: 8PSPQM1.	1	1.47		146.93
SOLD	07/26/22	M	PUT SPY 07/25/22 394 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STTYG0	5	1.08		539.69
SOLD	07/26/22	M	PUT SPY 07/25/22 394 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STTYG0	5	0.86		429.72

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/27/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	553	0.9035	499.64	
BOUGHT	07/27/22	M	CALL SPY 07/27/22 394 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8STTYM1	2	1.84	368.10	
SOLD	07/27/22	M	CALL SPY 07/27/22 394 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8STTYM1	2	1.88		375.88
BOUGHT	07/28/22	M	PUT TSLA 09/16/22 500 TESLA INC ADJ 5:1 STOCK SPLIT OPEN CONTRACT Security Number: 8PDSWM9	1	3.60	360.05	
BOUGHT	07/28/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST Security Number: 8SDWBJ5	4	0.225	90.20	
BOUGHT	07/28/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	6	0.24	144.30	
BOUGHT	07/29/22	M	CALL NVDA 07/29/22 182.50 NVIDIA CORPORATION OPEN CONTRACT Security Number: 8LQBSX9	1	1.05	105.05	

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	07/29/22	M	PUT SPY 07/29/22 404 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PSSYY6	1	1.21	121.05	
BOUGHT	07/29/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	1	0.24	24.05	
BOUGHT	07/29/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	1	0.24	24.05	
BOUGHT	07/29/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	1	0.24	24.05	
BOUGHT	07/29/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	5	0.24	120.25	
BOUGHT	07/29/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	4	0.24	96.20	
SOLD	07/29/22	M	CALL NVDA 07/29/22 182.50 NVIDIA CORPORATION CLOSING CONTRACT Security Number: 8LQBSX9	1	1.08		107.93

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	07/29/22	M	PUT TSLA 09/16/22 500 TESLA INC ADJ 5:1 STOCK SPLIT CLOSING CONTRACT Security Number: 8PDSWM9	1	3.20		319.93
SOLD	07/29/22	M	PUT SPY 07/29/22 404 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PSSYY6	1	1.27		126.93
Total Buy / Sell Transactions						\$51,406.69	\$49,156.39

SECURITIES RECEIVED AND DELIVERED

JOURNAL	07/13/22	O	META MATLS INC COMMON STOCK TO TYPE 7 FROM TYPE 2 FFS(175696703) CUSIP: 59134N104	39,764
JOURNAL	07/14/22	O	META MATLS INC COMMON STOCK TO TYPE 7 FROM TYPE 2 FFS(175828898) CUSIP: 59134N104	416
JOURNAL	07/19/22	M	META MATLS INC COMMON STOCK TO TYPE 2 FROM TYPE 7 FFS(176328726) CUSIP: 59134N104	40,180

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customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIES RECEIVED AND DELIVERED (continued)							
JOURNAL	07/20/22	O	META MATLS INC COMMON STOCK TO TYPE 7 FROM TYPE 2 FFS(176564170) CUSIP: 59134N104	40,180			
JOURNAL	07/21/22	M	META MATLS INC COMMON STOCK TO TYPE 2 FROM TYPE 7 FFS(176715503) CUSIP: 59134N104	40,180			
JOURNAL	07/13/22	M	META MATLS INC COMMON STOCK FROM TYPE 2 TO TYPE 7 FFS(175696703) CUSIP: 59134N104	-39,764			
JOURNAL	07/14/22	M	META MATLS INC COMMON STOCK FROM TYPE 2 TO TYPE 7 FFS(175828898) CUSIP: 59134N104	-416			
JOURNAL	07/19/22	O	META MATLS INC COMMON STOCK FROM TYPE 7 TO TYPE 2 FFS(176328726) CUSIP: 59134N104	-40,180			
JOURNAL	07/20/22	M	META MATLS INC COMMON STOCK FROM TYPE 2 TO TYPE 7 FFS(176564170) CUSIP: 59134N104	-40,180			

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**CHRISTOPHER COX**

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SECURITIES RECEIVED AND DELIVERED (continued)							
JOURNAL	07/21/22	0	META MATLS INC COMMON STOCK FROM TYPE 7 TO TYPE 2 FFS(176715503) CUSIP: 59134N104	-40,180			
Total Securities Received And Delivered							

▶ EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	07/28/22	08/01/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	267	\$0.9098	\$242.92	
BOUGHT	07/29/22	08/01/22	M	CALL NVDA 08/05/22 185 NVIDIA CORPORATION OPEN CONTRACT Security Number: 8LPLQV3	1	3.71	371.05	
SOLD	07/29/22	08/01/22	M	CALL NVDA 08/05/22 185 NVIDIA CORPORATION CLOSING CONTRACT Security Number: 8LPLQV3	-1	3.75		374.93
BOUGHT	07/29/22	08/01/22	M	PUT SPY 08/19/22 385 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8NTCBN9	1	1.49	149.05	

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customerservice@webull.us

▶ EXECUTED TRADES PENDING SETTLEMENT (CONTINUED)

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
SOLD	07/29/22	08/01/22	M	PUT SPY 08/19/22 385 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8NTCBN9	-1	1.53		152.93
BOUGHT	07/29/22	08/01/22	M	PUT SPY 07/29/22 408 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PSSZJ7	1	1.12	112.05	
BOUGHT	07/29/22	08/01/22	M	PUT SPY 07/29/22 408 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PSSZJ7	1	1	100.05	
BOUGHT	07/29/22	08/01/22	M	PUT SPY 07/29/22 408 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8PSSZJ7	1	1.32	132.05	
SOLD	07/29/22	08/01/22	M	PUT SPY 07/29/22 408 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8PSSZJ7	-3	1.03		308.81
BOUGHT	07/29/22	08/01/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	4	0.24	96.20	
BOUGHT	07/29/22	08/01/22	M	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	4	0.24	96.20	

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customerservice@webull.us**CHRISTOPHER COX**

▶ EXECUTED TRADES PENDING SETTLEMENT (CONTINUED)

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	07/29/22	08/02/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	0.9003	900.30	
Total Executed Trades Pending Settlement							\$2,199.87	\$836.67

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customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we

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customerservice@webull.us**▶ ANNOUNCEMENTS (CONTINUED)**

have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the Internet at: <http://public.s3.com/rule806/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at apexclearing.com/disclosures/

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the

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 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve

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Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

System.

- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of

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July 1, 2022 - July 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

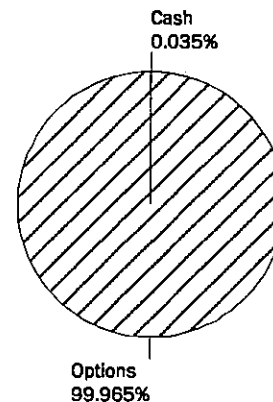
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$0.84	\$1.55
NET ACCOUNT BALANCE	0.84	1.55
Securities	4,824.90	4,388.15
TOTAL PRICED PORTFOLIO	4,824.90	4,388.15
Total Equity Holdings	\$4,825.74	\$4,389.70

▶ PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY:
**APEX CLEARING
CORPORATION**
MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement. If this is a margin account and we maintain a special miscellaneous account for you, this is a confirmed statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the preceding month to the 1st day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, the credit balance in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with fixed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts and allocations among customer short positions pursuant to a manual approval policy will randomly select from among all customer short option positions which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure will be available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure and provides protection for securities and cash up to certain limits. Similarly, SIPC protection. This additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books or records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis as Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safekeeping of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brokerage, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.fina.org.

Apex acts as your custodian for funds and securities deposited with us directly by you, through your broker's firm or bank or as a result of transactions we process for your account. Any suspended, inactivity or discrepancy in your account statement must be promptly reported to both your broker's firm or bank (not to your individual broker or firm) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number, general inquiries or concerns regarding your account should be directed to both your broker's firm or bank; account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balance, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpaid

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

This chart is an assumed for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing price, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by this quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of ill-liquids or infrequently traded securities.

Some securities in your portfolio may be listed as "Untraded" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Band prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held. If available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all "Good-Till-Canceled" (orders in your account. Also included in the section are GTC orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, redemptions and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, L=Income, L=Legal, S=Short, A=RP/DP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received. If later, Realized gains and losses may include transactions that settle during the following settlement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-exchange, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transacted between benefit owners, the transferor's cost basis will be retained. For shares acquired from a decedent you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATION OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETURN THIS STATEMENT AS IT WILL BE HELD UP IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHANGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS CORRECTED TO IN WRITING WITHIN 30 BUSINESS DAYS OF THE STATEMENT CLOSING DATE

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	203	\$0.216	\$4,374.65		N/A		99.657%
CALL MMAT 09/16/22 1.50 META MATLS INC EXP 09/16/2022	8KTCCJ9	C	1	0.015	1.50		N/A		.034
CALL MMAT 10/21/22 1.50 META MATLS INC EXP 10/21/2022	8F16673	C	3	0.04	12.00		N/A		.273
Total Equities									
Total Options					\$4,388.15				99.985%
Total Cash (Net Portfolio Balance)					\$1.55				0.035%
TOTAL PRICED PORTFOLIO					\$4,389.70				

► **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	1	\$0.23	\$23.05	

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-84363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	4	0.23	92.20	
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	6	0.23	138.30	
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	10	0.23	230.49	
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	10	0.23	230.50	
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	10	0.23	230.49	
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	10	0.23	230.49	
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJS	10	0.23	230.50	

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	08/22/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	39	0.23	898.92	
BOUGHT	08/23/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	38	0.22	837.87	
BOUGHT	08/23/22	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	65	0.22	1,433.19	
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	0.8739		0.85
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1	0.874		0.85
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	3	0.8799		2.62
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	258	0.8698		224.37
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	270	0.8641		233.26
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	790	0.873925		690.28

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**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	08/23/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,313	0.8756		1,149.46
SOLD	08/24/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,000	0.841		840.85
SOLD	08/24/22	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,725	0.8399		1,448.57
BOUGHT	08/29/22	C	CALL MMAT 10/21/22 1.50 META MATLS INC OPEN CONTRACT Security Number: 8F16673	3	0.05	15.15	
BOUGHT	08/29/22	C	CALL MMAT 09/16/22 1.50 META MATLS INC OPEN CONTRACT Security Number: 8KTCCJ9	1	0.02	2.05	
Total Buy / Sell Transactions						\$4,593.20	\$4,591.11
DIVIDENDS AND INTEREST							
INTEREST	08/12/22	C	FULLYPAID LENDING REBATE DUE 12/31/35 Jul2022 REBATE Security Number: 8S87337				\$2.80
Total Dividends And Interest							\$2.80

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ RETIREMENT ACCOUNT INFORMATION**

<u>DESCRIPTION</u>	<u>AMOUNT</u>
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA**Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2021 is available on the website at www.apexclearing.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2021, Apex Clearing Corporation had a net capital of \$407,242,025 and was \$359,371,347 in excess of its required net capital of \$47,870,678. As of July 31, 2021, Apex Clearing Corporation had a net capital of \$416,271,626 and was \$370,434,309 in excess of its required net capital of \$45,837,317.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required Information pertaining to this rule. This Information can be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional Insurance policy to supplement SIPC protection. This additional Insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August 31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.

August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-84363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

▶ **ANNOUNCEMENTS (CONTINUED)**

- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm

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August 1, 2022 - August 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

I R A A C C O U N T

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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September 1, 2022 - September 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

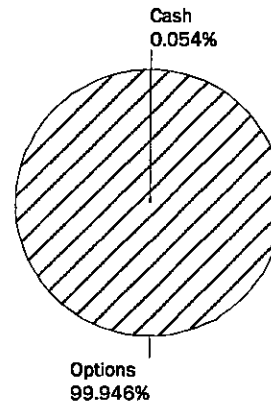
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

► ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$1.55	\$1.55
NET ACCOUNT BALANCE	1.55	1.55
Securities	4,388.15	2,889.13
TOTAL PRICED PORTFOLIO	4,388.15	2,889.13
Total Equity Holdings	\$4,389.70	\$2,890.68

► PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

September 1, 2022 - September 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	203	\$0.142	\$2,884.63	\$4,374.65	-34%		99.791%
CALL MMAT 10/21/22 1.50 META MATLS INC EXP 10/21/2022	8F16673	C	3	0.015	4.50	12.00	-63		.156
Total Equities									
Total Options					\$2,889.13				99.946%
Total Cash (Net Portfolio Balance)					\$1.55				0.054%
TOTAL PRICED PORTFOLIO					\$2,890.68				

► **ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
MISCELLANEOUS TRANSACTIONS							
EXPIRED	09/16/22	C	CALL MMAT 09/16/22 1.50 META MATLS INC OPTION EXPIRATION - EXPIRED Security Number: 8KTCCJ9	-1			
Total Miscellaneous Transactions							

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us▶ **RETIREMENT ACCOUNT INFORMATION**

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

September 1, 2022 - September 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2022 is available on the website at <https://apexfintechsolutions.com/legal/disclosures/>. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2022, Apex Clearing Corporation had a net capital of \$439,123,327 and was \$404,699,170 in excess of its required net capital of \$34,424,157. As of July 31, 2022, Apex Clearing Corporation had a net capital of \$462,369,497 and was \$429,018,530 in excess of its required net capital of \$33,350,967.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August

September 1, 2022 - September 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION

APEX CLEARING CORPORATION DISCLOSURE STATEMENT

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.

September 1, 2022 - September 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Lends and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

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September 1, 2022 - September 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com



Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

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October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

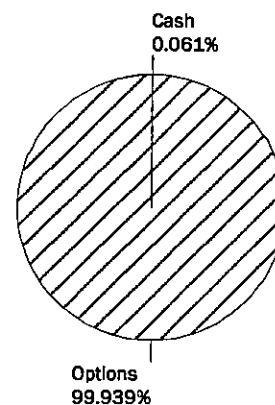
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

► ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$1.55	\$4.18
NET ACCOUNT BALANCE	1.55	4.18
Securities	2,889.13	6,899.14
TOTAL PRICED PORTFOLIO	2,889.13	6,899.14
Total Equity Holdings	\$2,890.68	\$6,903.32

► PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates, particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or per value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of O/D. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA



Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

CHRISTOPHER COX
APEX C/F ROTH IRA

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/20/23 2 META MATLS INC EXP 01/20/2023	8SDWBH1	C	4	\$0.145	\$58.04	\$2,884.63	-98%		.841%
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	203	0.337	6,841.10	2,884.63	137		99.099
Total Equities									
Total Options					\$6,899.14				99.939%
Total Cash (Net Portfolio Balance)					\$4.18				0.061%
TOTAL PRICED PORTFOLIO					\$6,903.32				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
SOLD	10/14/22	C	CALL MMAT 10/21/22 1.50 META MATLS INC CLOSING CONTRACT Security Number: 8F16673	3	\$0.21		\$62.83

October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	10/19/22	C	CALL MMAT 01/20/23 2 META MATLS INC OPEN CONTRACT Security Number: 8SDWBH1	4	0.15	60.20	
Total Buy / Sell Transactions						\$60.20	\$62.83

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ ANNOUNCEMENTS****IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2022 is available on the website at <https://apexfintechsolutions.com/legal/disclosures/>. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2022, Apex Clearing Corporation had a net capital of \$439,123,327 and was \$404,699,170 in excess of its required net capital of \$34,424,157. As of July 31, 2022, Apex Clearing Corporation had a net capital of \$462,369,497 and was \$429,018,530 in excess of its required net capital of \$33,350,967.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011

- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:

- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August

October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us
► **ANNOUNCEMENTS (CONTINUED)**

31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- **Anti-Money Laundering** - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- **Privacy Policy** - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- **Margin Disclosure Statement: FINRA Rule 2264** - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- **Day-Trading Risk Disclosure Statement: FINRA Rule 2270** - Information to be considered before engaging in a day-trading strategy.
- **Business Continuity Plan: FINRA Rule 4370** - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- **SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information)** - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- **SEC Rule 10b-10** - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- **SIPC Information: FINRA Rule 2266** - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- **Investor Education and Protection: FINRA Rule 2267** - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- **Joint NASD Industry Breakpoint Task Force** - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

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October 1, 2022 - October 31, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

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APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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November 1, 2022 - November 30, 2022

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

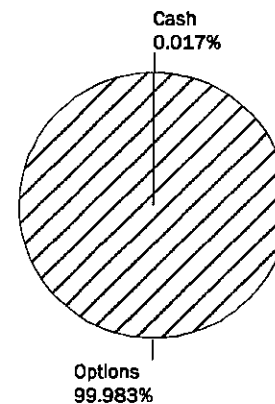
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$4.18	\$2.42
NET ACCOUNT BALANCE	4.18	2.42
Securities	6,899.14	14,040.79
TOTAL PRICED PORTFOLIO	6,899.14	14,040.79
Total Equity Holdings	\$6,903.32	\$14,043.21

▶ PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY:
**APEX CLEARING
CORPORATION**
MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selected from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex serves your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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November 1, 2022 - November 30, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	203	\$0.684	\$13,891.29	\$6,841.10	103%		98.918%
CALL MMAT 01/20/23 5 META MATLS INC EXP 01/20/2023	8SDWBH7	C	13	0.115	149.50	58.04	158		1.065
Total Equities									
Total Options					\$14,040.79				99.983%
Total Cash (Net Portfolio Balance)					\$2.42				0.017%
TOTAL PRICED PORTFOLIO					\$14,043.21				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	11/07/22	C	CALL MMAT 11/11/22 2.50 META MATLS INC OPEN CONTRACT Security Number: 8538765	1	\$0.04	\$4.04	
SOLD	11/10/22	C	CALL MMAT 11/11/22 2.50 META MATLS INC CLOSING CONTRACT Security Number: 8538765	1	0.01		0.94

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005

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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	11/21/22	C	CALL MMAT 01/20/23 5 META MATLS INC OPEN CONTRACT Security Number: 8SDWBH7	13	0.10	130.49	
SOLD	11/21/22	C	CALL MMAT 01/20/23 2 META MATLS INC CLOSING CONTRACT Security Number: 8SDWBH1	4	0.33		131.83
Total Buy / Sell Transactions						\$134.53	\$132.77

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2021	6,000.00
FAIR MARKET VALUE - 12/31/21 **	5,446.50

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

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► ANNOUNCEMENTS

IMPORTANT INFORMATION

Statement of Financial Condition

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2022 is available on the website at <https://apexfintechsolutions.com/legal/disclosures/>. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2022, Apex Clearing Corporation had a net capital of \$439,123,327 and was \$404,699,170 in excess of its required net capital of \$34,424,157. As of July 31, 2022, Apex Clearing Corporation had a net capital of \$462,369,497 and was \$429,018,530 in excess of its required net capital of \$33,350,967.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

November 1, 2022 - November 30, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **ANNOUNCEMENTS (CONTINUED)**

31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.

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ACCOUNT NUMBER **5MZ-84363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **ANNOUNCEMENTS (CONTINUED)**

- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as Information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

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November 1, 2022 - November 30, 2022

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

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December 1, 2022 - December 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA**

CHRISTOPHER COX

Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

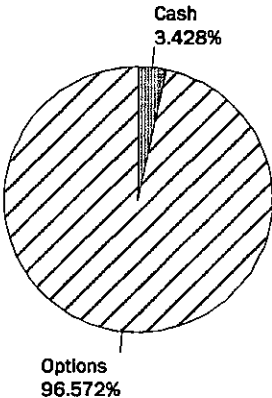
▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$1.46	\$199.71
NET ACCOUNT BALANCE	1.46	199.71
Securities	46,983.45	5,626.80
TOTAL PRICED PORTFOLIO	46,983.45	5,626.80
Total Equity Holdings	\$46,984.91	\$5,826.51

▶ INCOME AND EXPENSE SUMMARY

	THIS PERIOD	YEAR TO DATE
Debit interest expense	\$23.69	\$34.31

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 1.5c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates, particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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ACCOUNT NUMBER 5MU-73363-13 RR WEA

CHRISTOPHER COX

**Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	M	265	\$0.204	\$5,400.70	\$18,133.95	-70%		92.692%
CALL MMAT 01/20/23 5 META MATLS INC EXP 01/20/2023	8SDWBH7	M	1,615	0.002	226.10	18,549.50	-99		3.881
Total Equities									
Total Options					\$5,626.80				96.572%
Total Cash (Net Portfolio Balance)					\$199.71				3.428%
TOTAL PRICED PORTFOLIO					\$5,826.51				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
SOLD	12/02/22	M	META MATLS INC COMMON STOCK CUSIP: 59134N104	5,000	\$1.8602		\$9,300.13
BOUGHT	12/06/22	M	CALL MMAT 01/20/23 5 META MATLS INC OPEN CONTRACT Security Number: 8SDWBH7	2	0.08	16.08	

December 1, 2022 - December 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	12/13/22	M	CALL MMAT 12/30/22 4 META MATLS INC CLOSING CONTRACT Security Number: 8UBDKM3	1	0.04		3.94
SOLD	12/13/22	M	CALL MMAT 12/30/22 4 META MATLS INC CLOSING CONTRACT Security Number: 8UBDKM3	99	0.03		293.00
BOUGHT	12/29/22	M	CALL SPY 12/28/22 383 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8LSDHV6	3	0.31	93.11	
BOUGHT	12/29/22	M	CALL SPY 12/28/22 383 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8LSDHV6	3	0.23	69.11	
BOUGHT	12/29/22	M	CALL SPY 12/28/22 383 STANDARD & POORS DEPOSITORY OPEN CONTRACT Security Number: 8LSDHV6	3	0.32	96.11	
SOLD	12/29/22	M	CALL SPY 12/28/22 383 STANDARD & POORS DEPOSITORY CLOSING CONTRACT Security Number: 8LSDHV6	9	0.20		179.63
Total Buy / Sell Transactions						\$274.41	\$9,776.70
FUNDS PAID AND RECEIVED							
DWF	12/01/22	M	DOMESTIC WIRE FEE CTS(142849630)			\$25.00	

I N D I V I D U A L A C C O U N T

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CHRISTOPHER COX



44 Wall Street, New York, NY 10005
customerservice@webull.us

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PAID AND RECEIVED (continued)							
WIRE	12/01/22	M	WIRE TRANSFER Fed#20221201B1Q8154C008199 CTS(142849630)			9,255.35	
Total Funds Paid And Received						\$9,280.35	
DEBIT INTEREST							
INTEREST	12/16/22	M	FROM 11/16 THRU 12/15 @ 9.490% BAL 302- AVBAL 3,098			\$23.69	
Total Debit Interest						\$23.69	

December 1, 2022 - December 31, 2022

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2022 is available on the website at <https://apexfintechsolutions.com/legal/disclosures/>. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2022, Apex Clearing Corporation had a net capital of \$439,123,327 and was \$404,699,170 in excess of its required net capital of \$34,424,157. As of July 31, 2022, Apex Clearing Corporation had a net capital of \$462,369,497 and was \$429,018,530 in excess of its required net capital of \$33,350,967.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009. SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.

- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:

- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

- **Carrying Agreements:** FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- **Extended Hours Trading Risk Disclosure:** FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- **Liens and Levies** - Apex will abide by the directions of federal, state, or other levying authorities.
- **Regulation E** - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- **Municipal Securities Rulemaking Board ("MSRB") Rule G-10** requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better serve your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

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ACCOUNT NUMBER **5MU-73363-13 RR WEA****CHRISTOPHER COX****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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January 1, 2023 - January 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

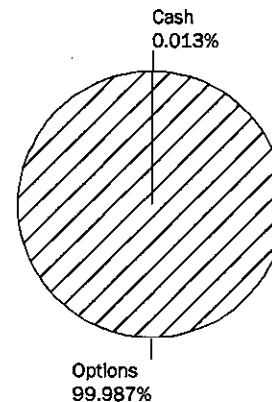
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$2.42	\$0.38
NET ACCOUNT BALANCE	2.42	0.38
Securities	4,138.96	2,868.39
TOTAL PRICED PORTFOLIO	4,138.96	2,868.39
Total Equity Holdings	\$4,141.38	\$2,868.77

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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44 Wall Street, New York, NY 10005
customerservice@webull.us

DESCRIPTION	CUSIP	TYPE	QUANTITY	PRICE	VALUE	MARKET VALUE	% CHANGE	INCOME	PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	203	\$0.141	\$2,868.39	\$4,137.14	-31%		99.987%
Total Equities									
Total Options					\$2,868.39				99.987%
Total Cash (Net Portfolio Balance)					\$0.38				0.013%
TOTAL PRICED PORTFOLIO					\$2,868.77				

		ACCOUNT					
TRANSACTION	DATE	TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	01/10/23	C	CALL MMAT 01/20/23 5 META MATLS INC OPEN CONTRACT Security Number: 8SDWBH7	1	\$0.02	\$2.04	
Total Buy / Sell Transactions						\$2.04	
FUNDS PAID AND RECEIVED							
JOURNAL	01/18/23	C	FAIR MARKET VALUE - 12/31/22 Security Number: 8661022	4,141.38			
Total Funds Paid And Received							

January 1, 2023 - January 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PAID AND RECEIVED (continued)							
MISCELLANEOUS TRANSACTIONS							
EXPIRED	01/20/23	C	CALL MMAT 01/20/23 5 META MATLS INC OPTION EXPIRATION - EXPIRED Security Number: 8SDWBH7	-14			

Total Miscellaneous Transactions

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
FAIR MARKET VALUE - 12/31/22 **	4,141.38

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

January 1, 2023 - January 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ ANNOUNCEMENTS****IMPORTANT INFORMATION****Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2022 is available on the website at <https://apexfintechsolutions.com/legal/disclosures/>. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2022, Apex Clearing Corporation had a net capital of \$439,123,327 and was \$404,699,170 in excess of its required net capital of \$34,424,157. As of July 31, 2022, Apex Clearing Corporation had a net capital of \$462,369,497 and was \$429,018,530 in excess of its required net capital of \$33,350,967.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request. **Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.**

January 1, 2023 - January 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

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January 1, 2023 - January 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except; 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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February 1, 2023 - March 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

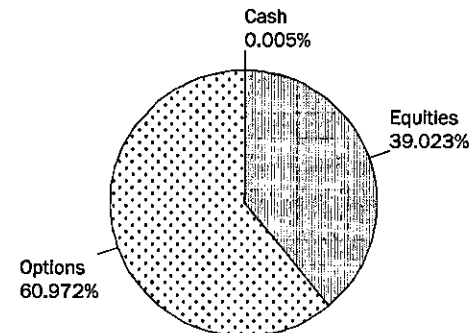
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$0.38	\$0.08
NET ACCOUNT BALANCE	0.38	0.08
Securities	2,868.39	1,864.37
TOTAL PRICED PORTFOLIO	2,868.39	1,864.37
Total Equity Holdings	\$2,868.77	\$1,864.45

PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 1.5c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES: C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RPV/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

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**CHRISTOPHER COX
APEX C/F ROTH IRA**



44 Wall Street, New York, NY 10005
customerservice@webull.us

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	1,785	\$0.408	\$727.57		N/A		39.023%
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	203	0.056	1,136.80	2,868.39	-60		60.972
Total Equities					\$727.57				39.023%
Total Options					\$1,136.80				60.972%
Total Cash (Net Portfolio Balance)					\$0.08				0.004%
TOTAL PRICED PORTFOLIO					\$1,864.45				

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	03/10/23	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,785	\$0.560394	\$1,000.30	
Total Buy / Sell Transactions						\$1,000.30	

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T*February 1, 2023 - March 31, 2023*

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
FUNDS PAID AND RECEIVED							
CN3	03/08/23	C	CONTRIBUTION 2023 SEN(20230308231316) Security Number: 8661183	1,000			
CN3	03/08/23	C	ACH DEPOSIT SEN(20230308231316)				1,000.00
Total Funds Paid And Received							\$1,000.00

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2023	1,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
FAIR MARKET VALUE - 12/31/22 **	4,141.38

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

February 1, 2023 - March 31, 2023

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

▶ ANNOUNCEMENTS

IMPORTANT INFORMATION

For certain Index Options Webull charges a per contract fee, referred to as the Webull Index Option Contract Fee. The Add'l fee column in the trade confirmation is made up of the Webull Index Option Contract Fee, the Proprietary Index Option Fee, and the OCC fee. The Tran Fee in the trade confirmation is an aggregate of the Regulatory Transaction (SEC) Fee, Trading Activity Fee (TAF), and Options Regulatory Fee (ORF).

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Audited Statement of Financial Condition as of December 31, 2022 is available on the website at www.apexfintechsolutions.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of December 31, 2022, Apex Clearing Corporation had a net capital of \$466,254,287 and was \$439,752,615 in excess of its required net capital of \$26,501,672. As of January 31, 2023, Apex Clearing Corporation had a net capital of \$503,778,156 and was \$479,334,278 in excess of its required net capital of \$24,443,878.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011

- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:

- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks ("Program Banks") may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us► **ANNOUNCEMENTS (CONTINUED)**

funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Addition of Customers Bank and SoFi Bank N.A.

We have added Customers Bank and SoFi Bank N.A. to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or around August 31, 2022. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**

Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**► ANNOUNCEMENTS (CONTINUED)**

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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April 1, 2023 - April 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**

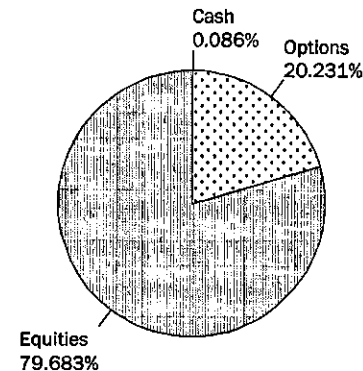
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$0.08	\$0.87
NET ACCOUNT BALANCE	0.08	0.87
Securities	1,864.37	1,017.41
TOTAL PRICED PORTFOLIO	1,864.37	1,017.41
Total Equity Holdings	\$1,864.45	\$1,018.28

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement, the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selected from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. **ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.**

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

April 1, 2023 - April 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**► PORTFOLIO SUMMARY**

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
META MATLS INC COMMON STOCK	MMAT	C	4,405	\$0.184	\$811.40	\$727.57	12%		79.683%
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	327	0.006	206.01	1,136.80	-82		20.231
Total Equities					\$811.40				79.683%
Total Options					\$206.01				20.231%
Total Cash (Net Portfolio Balance)					\$0.87				0.085%
TOTAL PRICED PORTFOLIO					\$1,018.28				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	04/04/23	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	34	\$0.06	\$205.67	
BOUGHT	04/04/23	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	40	0.06	241.96	

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA**Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	04/04/23	C	CALL MMAT 01/19/24 3 META MATLS INC OPEN CONTRACT Security Number: 8SDWBJ5	50	0.06	302.45	
SOLD	04/05/23	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	500	0.4152		207.52
SOLD	04/05/23	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	1,285	0.425		545.93
BOUGHT	04/18/23	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	4,405	0.2276	1,002.58	
Total Buy / Sell Transactions						\$1,752.66	\$753.45
FUNDS PAID AND RECEIVED							
CN3	04/14/23	C	CONTRIBUTION 2023 SEN(20230414327076) Security Number: 8661183	1,000			
CN3	04/14/23	C	ACH DEPOSIT SEN(20230414327076)				1,000.00
Total Funds Paid And Received							\$1,000.00

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA**Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2023	2,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
FAIR MARKET VALUE - 12/31/22 **	4,141.38

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

April 1, 2023 - April 30, 2023

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION

For certain Index Options Webull charges a per contract fee, referred to as the Webull Index Option Contract Fee. The Add'l fee column in the trade confirmation is made up of the Webull Index Option Contract Fee, the Proprietary Index Option Fee, and the OCC fee. The Tran Fee in the trade confirmation is an aggregate of the Regulatory Transaction (SEC) Fee, Trading Activity Fee (TAF), and Options Regulatory Fee (ORF).

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Audited Statement of Financial Condition as of December 31, 2022 is available on the website at www.apexfintechsolutions.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of December 31, 2022, Apex Clearing Corporation had a net capital of \$466,254,287 and was \$439,752,615 in excess of its required net capital of \$26,501,672. As of January 31, 2023, Apex Clearing Corporation had a net capital of \$503,778,156 and was \$479,334,278 in excess of its required net capital of \$24,443,878.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation In Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the Internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011.
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. Please note SIPC does not cover commodity contracts and options on futures. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as *excess SIPC, becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks (*Program Banks) may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the *Program), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov.

You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Coming Soon: Addition of Old National Bank and Flagstar Bank

We are in the process of adding both Old National Bank and Flagstar Bank to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or before June 1, 2023.

Participating Bank Removal - Signature Bank:

Effective 3/13/2023, Signature Bank was removed as a participating bank from the FDIC-Insured Deposit Sweep Program.

If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

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APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

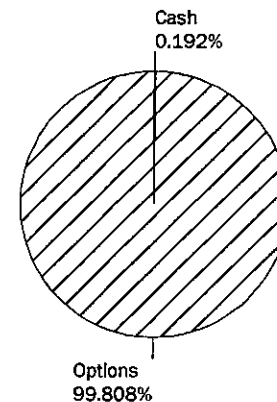
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

▶ ACCOUNT SUMMARY

	<u>OPENING BALANCE</u>	<u>CLOSING BALANCE</u>
Cash account	\$0.87	\$2.56
NET ACCOUNT BALANCE	0.87	2.56
Securities	1,017.41	1,332.09
TOTAL PRICED PORTFOLIO	1,017.41	1,332.09
Total Equity Holdings	\$1,018.28	\$1,334.65

▶ PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth In Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C= Cash, M= Margin, I= Income, L= Legal, S= Short, X= RVP/DVP, and O= Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

May 1, 2023 - May 31, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/17/25 1 META MATLS INC EXP 01/17/2025	8SZHQY9	C	131	\$0.06	\$786.00	\$206.01	282%		58.892%
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJS	C	327	0.017	546.09	206.01	165		40.916
Total Equities									
Total Options					\$1,332.09				99.808%
Total Cash (Net Portfolio Balance)					\$2.56				0.192%
TOTAL PRICED PORTFOLIO					\$1,334.65				

► ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	05/22/23	C	CALL MMAT 01/17/25 1 META MATLS INC OPEN CONTRACT Security Number: 8SZHQY9	50	\$0.08	\$402.45	
BOUGHT	05/22/23	C	CALL MMAT 01/17/25 1 META MATLS INC OPEN CONTRACT Security Number: 8SZHQY9	81	0.08	651.97	

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	05/23/23	C	META MATLS INC COMMON STOCK CUSIP: 59134N104	4,405	0.2399		1,056.11
Total Buy / Sell Transactions						\$1,054.42	\$1,056.11

▶ RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2023	2,000.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00
FAIR MARKET VALUE - 12/31/22 **	4,141.38

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS

IMPORTANT INFORMATION

For certain Index Options Webull charges a per contract fee, referred to as the Webull Index Option Contract Fee. The Add'l fee column in the trade confirmation is made up of the Webull Index Option Contract Fee, the Proprietary Index Option Fee, and the OCC fee. The Tran Fee in the trade confirmation is an aggregate of the Regulatory Transaction (SEC) Fee, Trading Activity Fee (TAF), and Options Regulatory Fee (ORF).

IMPORTANT INFORMATION

Statement of Financial Condition

A copy of Apex Clearing Corporation's Audited Statement of Financial Condition as of December 31, 2022 is available on the website at www.apexfintechsolutions.com/disclosures/. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of December 31, 2022, Apex Clearing Corporation had a net capital of \$466,254,287 and was \$439,752,615 in excess of its required net capital of \$26,501,672. As of January 31, 2023, Apex Clearing Corporation had a net capital of \$503,778,156 and was \$479,334,278 in excess of its required net capital of \$24,443,878.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.

<https://www.finra.org/rules-guidance/notices/06-72>

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CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

"IMPORTANT - Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. Please note SIPC does not cover commodity contracts and options on futures. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as *x*excess SIPC, becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

NOTE: Occasionally, Apex Clearing Corporation's bank deposit sweep program banks (*x*Program Banks) may be added or removed from the Program Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Program Bank List with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex Clearing Corporation's bank deposit sweep program (the *x*Program), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion.

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APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov. You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program. A current Program Bank List and current Insured Deposits Terms and Conditions may be found at <https://apexfintechsolutions.com/legal/disclosures/>

Coming Soon: Addition of Old National Bank and Flagstar Bank

We are in the process of adding both Old National Bank and Flagstar Bank to the FDIC-Insured Deposit Sweep Program for all eligible accounts. The banks will be eligible to receive deposits on or before June 1, 2023.

Participating Bank Removal - Signature Bank:

Effective 3/13/2023, Signature Bank was removed as a participating bank from the FDIC-Insured Deposit Sweep Program. If you have any questions or would like to exclude either bank from receiving your excess cash balance, please reach out to your broker. You are not required to take any action.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202-371-8300 or by visiting www.SIPC.org.

I R A A C C O U N T

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APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the Internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The Investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>,

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APEX C/F ROTH IRA****Webull Financial LLC**
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non-financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates and third parties, as permitted by law, in order to better service your financial needs and to pursue legitimate business interests, including to carry out, monitor and analyze our business, systems and operations.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

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**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get In Touch with Apex about this Privacy Policy

For reference, this Privacy Policy is available on our website at www.apexclearing.com. For more information relating to Apex's Privacy Policy or to limit our sharing of your personal information, please contact:

Apex Clearing Corporation
Attn: Compliance Department 350 N. St. Paul St., Suite 1300
Dallas, Texas 75201
cs@apexclearing.com

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201



Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

CHRISTOPHER COX
APEX C/F ROTH IRA

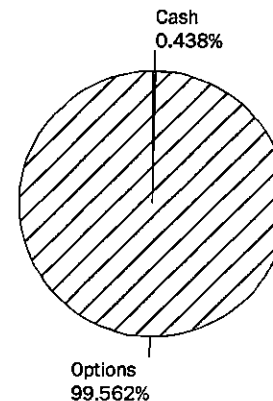
Your Registered Representative
WEBULL FINANCIAL LLC - HOUSE
(917) 725-2448

CHRISTOPHER COX
APEX C/F ROTH IRA
2882 MADISON GROVE ROAD
GREENVILLE NC 27858-7237

ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Cash account	\$2.56	\$4.41
NET ACCOUNT BALANCE	2.56	4.41
Securities	1,711.31	1,003.55
TOTAL PRICED PORTFOLIO	1,711.31	1,003.55
Total Equity Holdings	\$1,713.87	\$1,007.96

PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 1.5c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on your broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME:

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or per value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER 5MZ-64363-11 RR WEA

CHRISTOPHER COX
APEX C/F ROTH IRA

Webull Financial LLC

44 Wall Street, New York, NY 10005
customerservice@webull.us

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
CALL MMAT 01/16/26 .50 META MATLS INC EXP 01/16/2026	8UKLDP9	C	22	\$0.095	\$209.00	\$655.00	-68%		20.735%
CALL MMAT 01/17/25 1 META MATLS INC EXP 01/17/2025	8SZHQY9	C	131	0.038	491.25	720.50	-32		48.737
CALL MMAT 01/16/26 1 META MATLS INC EXP 01/16/2026	8UKLDQ1	C	1	0.057	5.73	655.00	-99		.568
CALL MMAT 01/19/24 3 META MATLS INC EXP 01/19/2024	8SDWBJ5	C	327	0.009	297.57	990.81	-70		29.522
Total Equities									
Total Options					\$1,003.55				99.562%
Total Cash (Net Portfolio Balance)					\$4.41				0.438%
TOTAL PRICED PORTFOLIO					\$1,007.96				

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**ACCOUNT ACTIVITY**

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	09/20/23	C	CALL MMAT 01/16/26 .50 META MATLS INC OPEN CONTRACT Security Number: 8UKLDP9	22	\$0.11	\$243.10	
BOUGHT	09/20/23	C	CALL MMAT 01/16/26 1 META MATLS INC OPEN CONTRACT Security Number: 8UKLDQ1	1	0.05	5.05	
Total Buy / Sell Transactions						\$248.15	
FUNDS PAID AND RECEIVED							
CN3	09/13/23	C	CONTRIBUTION 2023 SEN(20230912215823) Security Number: 8661183	250			
CN3	09/13/23	C	ACH DEPOSIT SEN(20230912215823)				250.00
Total Funds Paid And Received							\$250.00

RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT
CURRENT YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2023	2,250.00
PRIOR YEAR INFORMATION	
ROTH OR ESA CONTRIBUTION 2022	6,000.00

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July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA**

**CHRISTOPHER COX
APEX C/F ROTH IRA**



Webull Financial LLC
44 Wall Street, New York, NY 10005
customerservice@webull.us

► RETIREMENT ACCOUNT INFORMATION (CONTINUED)

DESCRIPTION	AMOUNT
FAIR MARKET VALUE - 12/31/22 **	4,141.38

** THIS INFORMATION IS BEING PROVIDED TO THE IRS

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**44 Wall Street, New York, NY 10005
customerservice@webull.us**▶ ANNOUNCEMENTS****IMPORTANT INFORMATION**

For certain Index Options Webull charges a per contract fee, referred to as the Webull Index Option Contract Fee. The Add'l fee column in the trade confirmation is made up of the Webull Index Option Contract Fee, the Proprietary Index Option Fee, and the OCC fee. The Tran Fee in the trade confirmation is an aggregate of the Regulatory Transaction (SEC) Fee, Trading Activity Fee (TAF), and Options Regulatory Fee (ORF).

IMPORTANT INFORMATION**Statement of Financial Condition**

A copy of Apex Clearing Corporation's Unaudited Statement of Financial Condition as of June 30, 2023 is available on the website at <https://apexfintechsolutions.com/legal/disclosures/>. A copy may also be obtained at no cost by calling Apex Clearing Corporation. As of June 30, 2023, Apex Clearing Corporation had a net capital of \$425,879,816 and was \$394,823,096 in excess of its required net capital of \$31,056,720. As of July 31, 2023, Apex Clearing Corporation had a net capital of \$417,093,251 and was \$386,104,362 in excess of its required net capital of \$30,988,889.

Apex's Policy for Dividends and Interest

Apex's policy is to pay all dividends and interest in US Dollars. Apex will allow the following countries to be paid in their foreign currency (Canadian Dollars, British Pounds, Euros, Argentine Peso, Chinese Renminbi, Korean Won, Philippine Peso and Brazilian Real).

Participation in Fully Paid Lending Program

Customers participating in the Fully-Paid Securities Lending Program should be aware that shares on loan are not covered by FDIC or SIPC. Cash securities collateralizing shares are held at JP Morgan Chase & Co. and not subject to FDIC or SIPC protections. Please consult the Master Securities Lending Agreement for additional information.

Information Regarding Cost Basis for Foreign Account Statements

Foreign Currency: Cost basis is required to be reported in U.S. dollars for tax purposes. It is the responsibility of the account holder to convert sales proceeds paid in foreign currency to U.S. dollars to avoid inaccurate cost basis calculations. When reporting the purchase or sale, you must determine the U.S. dollar amounts to be reported as of the settlement date, at the spot rate or by following a reasonable spot rate convention. See Regulations section 1.6045-1(d)(8).

Payment of Interest to holders of Municipal Securities - you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

IMPORTANT INFORMATION

To our valued customers:

FINRA Rule 2231 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing.
<https://www.finra.org/rules-guidance/notices/06-72>

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

"IMPORTANT - Part of your distribution Includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K-1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765-1009.

SEC Rule 606 (b) requires a broker-dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker-dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099-B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker-dealer or registered advisor.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation (SIPC) protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. Please note SIPC does not cover commodity contracts and options on futures. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub-limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the Apex FDIC-Insured Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the Apex FDIC-Insured Sweep Program, the Apex FDIC-Insured Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► ANNOUNCEMENTS (CONTINUED)

up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1-877-275-3342, 1-800-925-4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the Apex FDIC-Insured Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

NOTE: Occasionally, Apex FDIC-Insured Sweep Program's banks ("Program Banks") may be added or removed from the Participating Bank List, which is available on Apex's website. Apex will, to the extent possible, update the Participating Bank List, with any added Program Banks at least 30 calendar days before making deposits. If a Program Bank is removed from Apex FDIC-Insured Sweep Program (the "Program"), it will no longer be able to receive sweeps of your excess cash balance. If any of your excess cash balance is at a Program Bank that is removed from the Program, Apex will transfer those funds into another Program Bank still in the Program or into your Apex Account, at Apex's discretion. You may contact your broker to specify any Program Banks into which you do not wish your excess cash balance to be swept. Please note that if there are insufficient Program Banks available in the Program, taking into account any from which you have opted out, such that not all of your excess cash balance can be spread among Program Banks to ensure FDIC coverage, then some or all of your excess cash balance may remain dormant in your Apex Account or may be deposited at a Program Bank but not covered by FDIC insurance. You may obtain additional information on each Program Bank at www.fdic.gov.

You are solely responsible for monitoring the total amount of your assets on deposit with each Program Bank (including non-Program funds and accounts) in order to determine the extent of FDIC insurance coverage available to you on those deposits, including Program deposits. Apex shall not take into account any money you have on deposit at a Program Bank outside of the Program.

A current Apex FDIC-Insured Sweep Program Bank List and Apex FDIC-Insured Sweep Program Terms and Conditions may be found at <https://apexclearing.com/disclosures/>

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214-765-1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti-Money Laundering - Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy - The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 - The information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day-Trading Risk Disclosure Statement: FINRA Rule 2270 - Information to be considered before engaging in a day-trading strategy.
- Business Continuity Plan: FINRA Rule 4370 - A further summary of Apex's Disaster Recovery Plan to reasonably ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing Information) - (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non-directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA**
Webull Financial LLC
 44 Wall Street, New York, NY 10005
 customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

- SEC Rule 10b-10 - Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 - The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371-8300.
- Investor Education and Protection: FINRA Rule 2267 - Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289-9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force - A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 - The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 - Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies - Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E - Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.
- Municipal Securities Rulemaking Board ("MSRB") Rule G-10 requires an annual notification that 1) Apex Clearing Corporation is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board, 2) the MSRB publishes an investor brochure that is published on their website that describes the protections that may be provided by the MSRB and how to file a complaint with the regulatory authorities and 3) a copy of the MSRB Investor Brochure as well as information regarding prospective, new and existing MSRB rules may be found on the MSRB website by going to the following link: <http://www.msrb.org/>.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker-dealer or registered investment advisor as Apex's introducing client. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non-public personal information relating to those accounts. Please note that this policy generally applies to former customers of Apex as well as current customers.

Personal Information Collected

In order to service your account as a clearing broker, information is provided to Apex by your introducing firm who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing firm and provided to Apex or otherwise obtained by Apex may come from the following sources and is not limited to:

- Information included in your applications or forms, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from consumer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing firm.

In addition to servicing your account, Apex may make use of your personal information for analysis purposes, for example, to draw conclusions, detect patterns or determine preferences.

Sharing of Nonpublic Personal Information

July 1, 2023 - September 30, 2023

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ACCOUNT NUMBER **5MZ-64363-11 RR WEA****CHRISTOPHER COX
APEX C/F ROTH IRA****Webull Financial LLC**

44 Wall Street, New York, NY 10005

customerservice@webull.us

► **ANNOUNCEMENTS (CONTINUED)**

Apex does not disclose non-public personal information relating to current or former customers of introducing firms to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

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Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non-public personal information that is provided to Apex by your introducing firm or otherwise obtained by Apex by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non-public personal information are following appropriate standards of security and confidentiality. Further, we instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy, our staff will not discuss or disclose information regarding an account except: 1) with authorized personnel of your introducing firm, 2) as required by law or pursuant to regulatory request, or 3) as authorized by Apex to a third party or affiliate providing services to your account or pursuing Apex's legitimate business interests.

Access to Your Information

You may access your account information through a variety of media offered by your introducing firm and Apex (i.e. statements or online services). Please contact your introducing firm if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which it can later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

CONTACT APEX CLEARING

For general inquiries, please submit your questions to our Individual Investor Contact Page on the Apex website. Our Customer Support team will assist in providing the necessary answers to your questions.

Individual Investor Contact Page: <https://go.apexfintechsolutions.com/individual-investor-contact-form>

Physical mail may be sent to:
Apex Clearing Corporation
Attn: Customer Support
350 N. St. Paul St., Suite 130
Dallas, Texas 75201

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 06/08/23 353 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LXPCG5 Symbol: QQQ---230608C00353000							
2023-06-07	50.0	6,417.37	2023-06-07	6,633.47	171.02 D	-45.08	
CALL QQQ 06/08/23 354 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LXPCG7 Symbol: QQQ---230608C00354000							
2023-06-07	10.0	1,717.45	2023-06-07	1,675.50	0.00	41.95	
PUT QQQ 07/07/23 366 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LXQMB6 Symbol: QQQ---230707P00366000							
2023-07-07	40.0	1,907.92	2023-07-07	2,491.97	0.00	-584.05	
PUT QQQ 03/21/23 308 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LXSCM6 Symbol: QQQ---230321P00308000							
2023-03-21	3.0	323.83	2023-03-21	282.15	0.00	41.68	
PUT SPY 06/08/23 426 STANDARD & POORS DEPOSITORY CUSIP: *8LXTHK8 Symbol: SPY---230608P00426000							
2023-06-08	10.0	389.46	2023-06-08	411.02	10.52 D	-11.04	
PUT SPY 06/08/23 427 STANDARD & POORS DEPOSITORY CUSIP: *8LXTHL8 Symbol: SPY---230608P00427000							
2023-06-08	115.0	3,624.01	2023-06-08	4,636.20	0.52 D	-1,011.67	
PUT SPY 06/08/23 428 STANDARD & POORS DEPOSITORY CUSIP: *8LXTHM3 Symbol: SPY---230608P00428000							
2023-06-08	60.0	1,256.89	2023-06-08	1,972.96	0.00	-716.07	
CALL SPY 06/08/23 429 STANDARD & POORS DEPOSITORY CUSIP: *8LXTHM4 Symbol: SPY---230608C00429000							
2023-06-08	20.0	398.95	2023-06-08	381.00	0.00	17.95	
PUT TSLA 07/07/23 275 TESLA INC CUSIP: *8LXTZK7 Symbol: TSLA--230707P00275000							
2023-07-06	16.0	3,567.13	2023-07-06	3,564.79	0.00	2.34	
CALL SPY 03/21/23 398 STANDARD & POORS DEPOSITORY CUSIP: *8LXVPT7 Symbol: SPY---230321C00398000							
2023-03-21	2.0	93.88	2023-03-21	90.10	0.00	3.78	
PUT SPY 06/12/23 430 STANDARD & POORS DEPOSITORY CUSIP: *8LYCJZ7 Symbol: SPY---230612P00430000							
2023-06-12	30.0	1,678.43	2023-06-12	1,842.52	101.03 D	-63.06	
CALL SPY 06/23/23 433 STANDARD & POORS DEPOSITORY CUSIP: *8LYCPC8 Symbol: SPY---230623C00433000							
2023-06-23	5.0	549.73	2023-06-23	500.25	0.00	49.48	
PUT SPY 06/23/23 433 STANDARD & POORS DEPOSITORY CUSIP: *8LYCPC9 Symbol: SPY---230623P00433000							
2023-06-23	14.0	583.24	2023-06-23	634.22	25.52 D	-25.46	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 07/05/23 443 STANDARD & POORS DEPOSITORY CUSIP: *8LYFGW6 Symbol: SPY---230705P00443000							
2023-07-05	20.0	808.96	2023-07-05	801.99	1.01 D	7.98	
PUT SPY 07/21/23 453 STANDARD & POORS DEPOSITORY CUSIP: *8LYFGZ7 Symbol: SPY---230721P00453000							
2023-07-21	10.0	239.48	2023-07-21	310.49	0.00	-71.01	
PUT SPY 01/04/23 384 STANDARD & POORS DEPOSITORY CUSIP: *8LYHLP9 Symbol: SPY---230104P00384000							
2023-01-04	1.0	97.94	2023-01-04	96.04	0.00	1.90	
PUT SPY 08/04/23 451 STANDARD & POORS DEPOSITORY CUSIP: *8LZGWN0 Symbol: SPY---230804P00451000							
2023-08-04	30.0	1,498.41	2023-08-04	1,461.50	0.00	36.91	
CALL COIN 07/28/23 110 COINBASE GLOBAL INC CUSIP: *8LZKWD2 Symbol: COIN--230728C00110000							
2023-07-21	3.0	401.83	2023-07-21	390.15	0.00	11.68	
CALL SPY 03/30/23 403 STANDARD & POORS DEPOSITORY CUSIP: *8LZMJL5 Symbol: SPY---230330C00403000							
2023-03-30	1.0	101.93	2023-03-30	91.05	0.00	10.88	
PUT SPY 07/11/23 441 STANDARD & POORS DEPOSITORY CUSIP: *8LZNJQ9 Symbol: SPY---230711P00441000							
2023-07-11	10.0	180.45	2023-07-11	210.49	0.00	-30.04	
PUT SPY 09/15/23 443 STANDARD & POORS DEPOSITORY CUSIP: *8LZPLR0 Symbol: SPY---230915P00443000							
2023-09-15	10.0	199.47	2023-09-15	340.50	0.00	-141.03	
PUT SQ 04/21/23 74 BLOCK INC CUSIP: *8LZPSC5 Symbol: SQ---230421P00074000							
2023-03-21	3.0	1,169.83	2023-03-21	1,105.15	0.00	64.68	
PUT QQQ 07/14/23 375 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZTWP3 Symbol: QQQ---230714P00375000							
2023-07-13	10.0	704.46	2023-07-13	680.50	0.00	23.96	
PUT QQQ 07/13/23 376 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZVGF1 Symbol: QQQ---230713P00376000							
2023-07-13	10.0	759.48	2023-07-13	740.49	0.00	18.99	
PUT QQQ 07/17/23 381 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZVJH5 Symbol: QQQ---230717P00381000							
2023-07-17	20.0	858.96	2023-07-17	1,150.98	0.00	-292.02	
PUT SPY 07/13/23 448 STANDARD & POORS DEPOSITORY CUSIP: *8LZVZN1 Symbol: SPY---230713P00448000							
2023-07-13	10.0	159.44	2023-07-13	220.49	0.00	-61.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 06/14/23	436 STANDARD & POORS DEPOSITORY CUSIP: *8NCHBD9 Symbol: SPY---230614C00436000						
2023-06-14	10.0	849.48	2023-06-14	811.50	0.00	37.98	
PUT SPY 06/14/23	436 STANDARD & POORS DEPOSITORY CUSIP: *8NCHBF4 Symbol: SPY---230614P00436000						
2023-06-14	5.0	334.73	2023-06-14	290.25	0.00	44.48	
CALL SPY 06/14/23	438 STANDARD & POORS DEPOSITORY CUSIP: *8NCHBF7 Symbol: SPY---230614C00438000						
2023-06-14	5.0	487.70	2023-06-14	477.25	0.00	10.45	
PUT SPY 06/14/23	438 STANDARD & POORS DEPOSITORY CUSIP: *8NCHBG0 Symbol: SPY---230614P00438000						
2023-06-14	6.0	749.66	2023-06-14	786.62	24.32 D	-12.64	
PUT AAPL 09/15/23	175 APPLE INC CUSIP: *8NCMLG2 Symbol: AAPL--230915P00175000						
2023-09-12	10.0	1,389.45	2023-09-12	1,390.50	0.00	-1.05	
CALL QQQ 03/03/23	297 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NHXLB2 Symbol: QQQ---230303C00297000						
2023-03-03	5.0	375.72	2023-03-03	350.25	0.00	25.47	
CALL SPY 03/10/23	389 STANDARD & POORS DEPOSITORY CUSIP: *8NLVTV8 Symbol: SPY---230310C00389000						
2023-03-10	1.0	74.93	2023-03-10	86.05	0.00	-11.12	
CALL SPY 03/10/23	390 STANDARD & POORS DEPOSITORY CUSIP: *8NLVVC1 Symbol: SPY---230310C00390000						
2023-03-10	2.0	280.87	2023-03-10	254.10	0.00	26.77	
CALL SPY 03/10/23	395 STANDARD & POORS DEPOSITORY CUSIP: *8NLVVL4 Symbol: SPY---230310C00395000						
2023-03-09	12.0	2,359.34	2023-03-09	2,292.60	0.00	66.74	
CALL SPY 03/10/23	396 STANDARD & POORS DEPOSITORY CUSIP: *8NLWCR2 Symbol: SPY---230310C00396000						
2023-03-09	4.0	935.78	2023-03-09	892.20	0.00	43.58	
CALL SPY 01/06/23	384 STANDARD & POORS DEPOSITORY CUSIP: *8NMGBF8 Symbol: SPY---230106C00384000						
2023-01-06	5.0	259.75	2023-01-06	295.19	0.00	-35.44	
PUT TSLA 03/10/23	185 TESLA INC CUSIP: *8NNQTY4 Symbol: TSLA--230310P00185000						
2023-03-07	2.0	286.87	2023-03-07	286.10	0.00	0.77	
CALL SPY 03/17/23	391 STANDARD & POORS DEPOSITORY CUSIP: *8NQHLB3 Symbol: SPY---230317C00391000						
2023-03-17	1.0	118.93	2023-03-17	121.05	0.00	-2.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 03/17/23 391 STANDARD & POORS DEPOSITORY CUSIP: *8NQHLJ6 Symbol: SPY---230317P00391000							
2023-03-17	1.0	106.93	2023-03-17	108.05	0.00	-1.12	
CALL SPY 03/17/23 392 STANDARD & POORS DEPOSITORY CUSIP: *8NQHLJ8 Symbol: SPY---230317C00392000							
2023-03-17	4.0	402.75	2023-03-17	420.20	0.00	-17.45	
PUT SPY 03/17/23 394 STANDARD & POORS DEPOSITORY CUSIP: *8NQHMY3 Symbol: SPY---230317P00394000							
2023-03-16	4.0	801.76	2023-03-16	794.20	0.00	7.56	
PUT SPY 04/03/23 409 STANDARD & POORS DEPOSITORY CUSIP: *8NRNLB3 Symbol: SPY---230403P00409000							
2023-04-03	10.0	749.46	2023-04-03	800.49	0.00	-51.03	
CALL SPY 04/03/23 411 STANDARD & POORS DEPOSITORY CUSIP: *8NRNLB4 Symbol: SPY---230403C00411000							
2023-04-03	4.0	255.78	2023-04-03	268.20	0.00	-12.42	
CALL SPY 04/04/23 410 STANDARD & POORS DEPOSITORY CUSIP: *8NRNYH0 Symbol: SPY---230404C00410000							
2023-04-04	5.0	589.73	2023-04-04	535.25	0.00	54.48	
PUT SPY 04/04/23 408 STANDARD & POORS DEPOSITORY CUSIP: *8NSDVJ0 Symbol: SPY---230404P00408000							
2023-04-04	2.0	113.88	2023-04-04	108.10	0.00	5.78	
PUT SPY 04/04/23 411 STANDARD & POORS DEPOSITORY CUSIP: *8NSDWQ9 Symbol: SPY---230404P00411000							
2023-04-04	5.0	474.73	2023-04-04	430.25	0.00	44.48	
PUT SPY 03/13/23 385 STANDARD & POORS DEPOSITORY CUSIP: *8NSYPV7 Symbol: SPY---230313P00385000							
2023-03-13	4.0	299.78	2023-03-13	236.20	0.00	63.58	
PUT SPY 03/13/23 387 STANDARD & POORS DEPOSITORY CUSIP: *8NSYPW5 Symbol: SPY---230313P00387000							
2023-03-13	2.0	289.87	2023-03-13	285.10	0.00	4.77	
PUT SPY 03/15/23 383 STANDARD & POORS DEPOSITORY CUSIP: *8NSZHC8 Symbol: SPY---230315P00383000							
2023-03-15	2.0	163.88	2023-03-15	208.10	0.00	-44.22	
PUT SPY 03/15/23 385 STANDARD & POORS DEPOSITORY CUSIP: *8NSZHD2 Symbol: SPY---230315P00385000							
2023-03-15	5.0	508.71	2023-03-15	496.25	0.00	12.46	
CALL SPY 03/16/23 389 STANDARD & POORS DEPOSITORY CUSIP: *8NSZML8 Symbol: SPY---230316C00389000							
2023-03-16	1.0	100.93	2023-03-16	117.05	0.00	-16.12	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT SPY 03/16/23	392 STANDARD & POORS DEPOSITORY CUSIP: *8NSZMN0 Symbol: SPY---230316P00392000						
2023-03-16	25.0	1,831.67	2023-03-16	1,844.84	80.60 D	67.43	
CALL SPY 03/16/23	400 STANDARD & POORS DEPOSITORY CUSIP: *8NSZMQ5 Symbol: SPY---230316C00400000						
2023-03-10	10.0	789.46	2023-03-10	755.50	0.00	33.96	
PUT SPY 06/14/23	437 STANDARD & POORS DEPOSITORY CUSIP: *8NYQLH8 Symbol: SPY---230614P00437000						
2023-06-14	9.0	950.49	2023-06-14	939.45	0.00	11.04	
PUT META 09/15/23	307.50 META PLATFORMS INC CL A CUSIP: *8NYVCR7 Symbol: META--230915P00307500						
2023-09-14	11.0	1,451.38	2023-09-14	1,419.55	0.00	31.83	
PUT QQQ 03/22/23	309 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NZHFR7 Symbol: QQQ---230322P00309000						
2023-03-22	6.0	1,167.65	2023-03-22	1,170.30	0.00	-2.65	
PUT SPY 04/11/23	409 STANDARD & POORS DEPOSITORY CUSIP: *8NZYCC7 Symbol: SPY---230411P00409000						
2023-04-11	5.0	344.73	2023-04-11	310.25	0.00	34.48	
CALL SPY 03/22/23	400 STANDARD & POORS DEPOSITORY CUSIP: *8PBDV1 Symbol: SPY---230322C00400000						
2023-03-22	1.0	181.93	2023-03-22	181.05	0.00	0.88	
PUT AMD 04/06/23	92 ADVANCED MICRO DEVICES INC CUSIP: *8PDKJC6 Symbol: AMD---230406P00092000						
2023-04-06	10.0	319.48	2023-04-06	290.49	0.00	28.99	
PUT SPY 02/08/23	413 STANDARD & POORS DEPOSITORY CUSIP: *8PDZXM3 Symbol: SPY---230208P00413000						
2023-02-08	10.0	1,079.46	2023-02-08	955.50	0.00	123.96	
CALL SPY 02/09/23	415 STANDARD & POORS DEPOSITORY CUSIP: *8PFBVJ9 Symbol: SPY---230209C00415000						
2023-02-09	20.0	1,778.93	2023-02-09	1,665.99	0.00	112.94	
PUT QQQ 04/12/23	317 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PFBWD9 Symbol: QQQ---230412P00317000						
2023-04-12	5.0	619.73	2023-04-12	560.25	0.00	59.48	
CALL SPY 04/12/23	409 STANDARD & POORS DEPOSITORY CUSIP: *8PFSVJ4 Symbol: SPY---230412C00409000						
2023-04-12	5.0	514.73	2023-04-12	520.25	0.00	-5.52	
CALL SPY 04/12/23	410 STANDARD & POORS DEPOSITORY CUSIP: *8PFSVJ7 Symbol: SPY---230412C00410000						
2023-04-12	10.0	284.46	2023-04-12	326.02	25.52 D	-16.04	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PUT AMD 08/25/23	106	ADVANCED MICRO DEVICES INC CUSIP: *8PKZMQ8 Symbol: AMD---	230825P00106000				
2023-08-23	5.0	604.71	2023-08-23	606.36	1.11 D	-0.54	
CALL SPY 06/21/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8PLLYZ0 Symbol: SPY---	230621C00435000				
2023-06-21	5.0	404.73	2023-06-21	400.25	0.00	4.48	
PUT SPY 06/21/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8PLLYZ2 Symbol: SPY---	230621P00435000				
2023-06-21	10.0	639.45	2023-06-21	625.50	0.00	13.95	
CALL COIN 08/25/23	80	COINBASE GLOBAL INC CUSIP: *8PMBBN0 Symbol: COIN--	230825C00080000				
2023-08-23	10.0	789.47	2023-08-23	755.50	0.00	33.97	
PUT QQQ 05/09/23	322	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PMFPT9 Symbol: QQQ---	230509P00322000				
2023-05-09	40.0	2,197.92	2023-05-09	2,161.97	0.00	35.95	
CALL MMAT 08/25/23	.50	META MATLS INC CUSIP: *8PMTZM3 Symbol: MMAT--	230825C00000500				
2023-08-03	100.0	94.70	2023-07-28	104.91	0.00	-10.21	
CALL MRNA 08/25/23	120	MODERNA INC CUSIP: *8PMWRW4 Symbol: MRNA--	230825C00120000				
2023-08-22	5.0	639.73	2023-08-22	610.25	0.00	29.48	
CALL NVDA 08/25/23	480	NVIDIA CORPORATION CUSIP: *8PNCDF9 Symbol: NVDA--	230825C00480000				
2023-08-25	1.0	106.93	2023-08-25	101.05	0.00	5.88	
CALL SPY 07/21/23	454	STANDARD & POORS DEPOSITORY CUSIP: *8PNCRB0 Symbol: SPY---	230721C00454000				
2023-07-21	50.0	2,277.40	2023-07-21	2,373.47	81.01 D	-15.06	
CALL PYPL 08/25/23	61	PAYPAL HOLDINGS INC CUSIP: *8PNJZN6 Symbol: PYPL--	230825C00061000				
2023-08-22	5.0	304.71	2023-08-22	300.25	0.00	4.46	
PUT QQQ 07/28/23	383	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PNPFV9 Symbol: QQQ---	230728P00383000				
2023-07-28	5.0	339.72	2023-07-28	325.25	0.00	14.47	
PUT QQQ 08/25/23	363	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PNPSC8 Symbol: QQQ---	230825P00363000				
2023-08-21	4.0	1,319.76	2023-08-21	1,291.20	0.00	28.56	
CALL QQQ 08/25/23	365	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PNPSR7 Symbol: QQQ---	230825C00365000				
2023-08-17	2.0	539.88	2023-08-17	532.10	0.00	7.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 08/03/23	449	STANDARD & POORS DEPOSITORY CUSIP: *8PNTSZ7 Symbol: SPY---230803P00449000					
2023-08-03	10.0	509.47	2023-08-03	480.50	0.00	28.97	
PUT SPY 08/03/23	452	STANDARD & POORS DEPOSITORY CUSIP: *8PNTTB7 Symbol: SPY---230803P00452000					
2023-07-28	10.0	639.46	2023-07-28	680.50	0.00	-41.04	
CALL SPY 08/25/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8PPGMG2 Symbol: SPY---230825C00439000					
2023-08-25	20.0	898.94	2023-08-25	871.00	0.00	27.94	
PUT SPY 08/25/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8PPGMG3 Symbol: SPY---230825P00439000					
2023-08-25	145.0	5,082.32	2023-08-25	4,957.25	0.00	125.07	
PUT SPY 08/25/23	440	STANDARD & POORS DEPOSITORY CUSIP: *8PPGMG9 Symbol: SPY---230825P00440000					
2023-08-25	4.0	115.77	2023-08-25	124.20	0.00	-8.43	
PUT SPY 08/07/23	449	STANDARD & POORS DEPOSITORY CUSIP: *8PQRRJ8 Symbol: SPY---230807P00449000					
2023-08-07	20.0	778.93	2023-08-07	761.00	0.00	17.93	
PUT SPY 08/08/23	446	STANDARD & POORS DEPOSITORY CUSIP: *8PRGPK9 Symbol: SPY---230808P00446000					
2023-08-08	10.0	409.46	2023-08-08	540.50	0.00	-131.04	
PUT QQQ 09/14/23	376	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PRMRB9 Symbol: QQQ---230914P00376000					
2023-09-14	10.0	559.47	2023-09-14	510.50	0.00	48.97	
PUT QQQ 09/14/23	377	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PRMRC1 Symbol: QQQ---230914P00377000					
2023-09-14	20.0	1,148.94	2023-09-14	1,091.00	0.00	57.94	
PUT SPY 08/09/23	447	STANDARD & POORS DEPOSITORY CUSIP: *8PRPRQ7 Symbol: SPY---230809P00447000					
2023-08-07	20.0	1,378.93	2023-08-07	1,381.00	0.00	-2.07	
CALL ORCL 09/15/23	115	ORACLE CORP CUSIP: *8PVCGS8 Symbol: ORCL--230915C00115000					
2023-09-13	3.0	110.83	2023-09-13	105.15	0.00	5.68	
CALL QQQ 09/15/23	375	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PYSYJ2 Symbol: QQQ---230915C00375000					
2023-09-15	10.0	681.40	2023-09-15	750.50	0.00	-69.10	
PUT QQQ 09/15/23	375	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PYSYJ3 Symbol: QQQ---230915P00375000					
2023-09-14	10.0	889.47	2023-09-14	850.50	0.00	38.97	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 02/17/23	301	INVESTCO QQQ TRUST SERIES 1 CUSIP: *85CLKB5 Symbol: QQQ---230217C00301000					
2023-02-17	4.0	479.77	2023-02-17	516.20	0.00	-36.43	
PUT QQQ 05/19/23	336	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8SDRYG2 Symbol: QQQ---230519P00336000					
2023-05-18	10.0	899.48	2023-05-18	860.49	0.00	38.99	
2023-05-19	10.0	599.46	2023-05-19	640.49	0.00	-41.03	
Security Totals:		1,498.94		1,500.98		-2.04	
CALL MMAT 01/20/23	5	META MATLS INC CUSIP: *8SDWBH7 Symbol: MMAT--230120C00005000					
2023-01-20	1615.0	0.00	Various	17,315.02	0.00	-17,315.02	
CALL MMAT 01/19/24	2	META MATLS INC CUSIP: *8SDWB3 Symbol: MMAT--240119C00002000					
2023-06-08	1.0	2.93	2023-03-08	9.05	0.00	-6.12	
2023-06-21	4.0	11.77	2023-03-08	36.19	0.00	-24.42	
Security Totals:		14.70		45.24		-30.54	
CALL MMAT 01/19/24	3	META MATLS INC CUSIP: *8SDWB5 Symbol: MMAT--240119C00003000					
2023-06-21	4.0	11.78	2022-07-27	90.20	0.00	-78.42	
2023-06-22	35.0	103.18	Various	832.75	0.00	-729.57	
Security Totals:		114.96		922.95		-807.99	
CALL NEM 01/19/24	70	NEWMONT CORPORATION CUSIP: *8SDWJX9 Symbol: NEM---240119C00070000					
2023-02-10	2.0	217.88	2023-02-09	216.10	0.00	1.78	
CALL QQQ 03/24/23	308	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8SGYTD1 Symbol: QQQ---230324C00308000					
2023-03-24	3.0	488.83	2023-03-24	438.15	0.00	50.68	
PUT SPY 03/24/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8SHBSG0 Symbol: SPY---230324P00390000					
2023-03-24	3.0	383.83	2023-03-24	366.15	0.00	17.68	
CALL SPY 03/24/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8SHBSH9 Symbol: SPY---230324C00395000					
2023-03-23	1.0	144.93	2023-03-23	162.05	17.12 D	-0.00	
2023-03-24	5.0	229.73	2023-03-24	227.37	0.00	2.36	
Security Totals:		374.66		389.42		2.36	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMD 03/24/23	95 ADVANCED MICRO DEVICES INC CUSIP: *8SHKFD5 Symbol: AMD---			230324P00095000			
2023-03-21	3.0	338.81	2023-03-21	327.15	0.00	11.66	
PUT QQQ 06/16/23	370 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SHSPN6 Symbol: QQQ---			230616P00370000			
2023-06-15	10.0	1,319.47	2023-06-15	1,218.50	0.00	100.97	
PUT NVDA 05/19/23	315 NVIDIA CORPORATION CUSIP: *8SHYTQ7 Symbol: NVDA---			230519P00315000			
2023-05-18	5.0	1,064.73	2023-05-18	1,030.25	0.00	34.48	
PUT QQQ 08/18/23	355 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SJLCL0 Symbol: QQQ---			230818P00355000			
2023-08-18	5.0	594.70	2023-08-18	730.25	0.00	-135.55	
CALL QQQ 08/18/23	365 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SJLCL3 Symbol: QQQ---			230818C00365000			
2023-08-17	10.0	299.47	2023-08-17	260.50	0.00	38.97	
CALL QQQ 09/15/23	372 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SJLCV4 Symbol: QQQ---			230915C00372000			
2023-09-15	30.0	2,608.38	2023-09-15	2,773.50	0.00	-165.12	
PUT SPY 03/20/23	391 STANDARD & POORS DEPOSITORY CUSIP: *8SJRWC6 Symbol: SPY---			230320P00391000			
2023-03-20	10.0	1,048.42	2023-03-20	1,034.50	0.00	13.92	
CALL SPY 03/20/23	392 STANDARD & POORS DEPOSITORY CUSIP: *8SJRWC7 Symbol: SPY---			230320C00392000			
2023-03-20	2.0	289.88	2023-03-20	274.10	0.00	15.78	
CALL SPY 03/20/23	393 STANDARD & POORS DEPOSITORY CUSIP: *8SJRWC9 Symbol: SPY---			230320C00393000			
2023-03-20	7.0	771.59	2023-03-20	761.35	0.00	10.24	
PUT SPY 03/20/23	393 STANDARD & POORS DEPOSITORY CUSIP: *8SJRWD1 Symbol: SPY---			230320P00393000			
2023-03-20	8.0	816.56	2023-03-20	833.40	0.00	-16.84	
CALL GOOGL 04/28/23	105 ALPHABET INC CLASS A CUSIP: *8SKDLM0 Symbol: GOOGL-			230428C00105000			
2023-04-26	2.0	129.88	2023-04-26	128.10	0.00	1.78	
PUT SPY 04/05/23	408 STANDARD & POORS DEPOSITORY CUSIP: *8SKFWZ6 Symbol: SPY---			230405P00408000			
2023-04-04	2.0	175.88	2023-04-04	206.10	0.00	-30.22	
CALL SPY 05/05/23	411 STANDARD & POORS DEPOSITORY CUSIP: *8SKFYW8 Symbol: SPY---			230505C00411000			
2023-05-05	10.0	699.48	2023-05-05	700.49	0.00	-1.01	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property CUSIP Symbol						1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis				
PUT SPY 06/22/23 433 STANDARD & POORS DEPOSITORY CUSIP: *8SKRNQ9 Symbol: SPY---230622P00433000								
2023-06-22	10.0	549.43	2023-06-22	615.50		0.00	-66.07	
PUT SPY 06/22/23 435 STANDARD & POORS DEPOSITORY CUSIP: *8SKRNR3 Symbol: SPY---230622P00435000								
2023-06-22	15.0	464.21	2023-06-22	581.27		35.52 D	-81.54	
PUT SPY 04/10/23 409 STANDARD & POORS DEPOSITORY CUSIP: *8SKTMW0 Symbol: SPY---230410P00409000								
2023-04-06	2.0	397.88	2023-04-06	388.10		0.00	9.78	
CALL QQQ 03/22/23 314 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SKXXX1 Symbol: QQQ---230322C00314000								
2023-03-22	2.0	205.88	2023-03-22	192.10		0.00	13.78	
PUT QQQ 04/28/23 320 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SKYBF0 Symbol: QQQ---230428P00320000								
2023-04-28	20.0	798.96	2023-04-28	966.99		0.00	-168.03	
PUT SPY 03/23/23 395 STANDARD & POORS DEPOSITORY CUSIP: *8SLFFF0 Symbol: SPY---230323P00395000								
2023-03-23	2.0	203.88	2023-03-23	190.10		0.00	13.78	
CALL SPY 03/23/23 396 STANDARD & POORS DEPOSITORY CUSIP: *8SLFFF1 Symbol: SPY---230323C00396000								
2023-03-23	3.0	368.81	2023-03-23	327.15		0.00	41.66	
PUT SPY 03/23/23 396 STANDARD & POORS DEPOSITORY CUSIP: *8SLFFF2 Symbol: SPY---230323P00396000								
2023-03-23	3.0	329.83	2023-03-23	312.15		0.00	17.68	
PUT SPY 03/23/23 397 STANDARD & POORS DEPOSITORY CUSIP: *8SLFFF5 Symbol: SPY---230323P00397000								
2023-03-23	5.0	409.73	2023-03-23	400.25		0.00	9.48	
PUT SPY 03/23/23 398 STANDARD & POORS DEPOSITORY CUSIP: *8SLFFF7 Symbol: SPY---230323P00398000								
2023-03-23	3.0	263.82	2023-03-23	303.15		0.00	-39.33	
PUT TSLA 04/28/23 155 TESLA INC CUSIP: *8SLHCN1 Symbol: TSLA--230428P00155000								
2023-04-26	5.0	664.72	2023-04-26	610.25		0.00	54.47	
PUT SFIX 06/09/23 3.50 STITCH FIX INC CUSIP: *8SLTTH8 Symbol: SFIX--230609P00003500								
2023-06-09	3.0	0.00	2023-06-06	96.15		0.00	-96.15	
CALL SPY 05/11/23 411 STANDARD & POORS DEPOSITORY CUSIP: *8SLVRX0 Symbol: SPY---230511C00411000								
2023-05-11	2.0	157.88	2023-05-11	148.10		0.00	9.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 05/11/23 411 STANDARD & POORS DEPOSITORY CUSIP: *8SLVRX1 Symbol: SPY---230511P00411000							
2023-05-11	2.0	285.88	2023-05-11	258.10	0.00	27.78	
CALL SPY 05/11/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SLVRX7 Symbol: SPY---230511C00412000							
2023-05-11	5.0	194.73	2023-05-11	185.25	0.00	9.48	
PUT SPY 06/09/23 430 STANDARD & POORS DEPOSITORY CUSIP: *8SLVTF4 Symbol: SPY---230609P00430000							
2023-06-02	3.0	1,178.83	2023-06-02	1,140.15	0.00	38.68	
CALL SPY 05/10/23 410 STANDARD & POORS DEPOSITORY CUSIP: *8SLYDY3 Symbol: SPY---230510C00410000							
2023-05-10	5.0	259.73	2023-05-10	255.25	0.00	4.48	
PUT SPY 05/10/23 410 STANDARD & POORS DEPOSITORY CUSIP: *8SLYDY4 Symbol: SPY---230510P00410000							
2023-05-10	20.0	578.96	2023-05-10	555.99	0.00	22.97	
CALL SPY 05/10/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SLYDY7 Symbol: SPY---230510C00412000							
2023-05-10	15.0	1,814.22	2023-05-10	1,720.75	0.00	93.47	
PUT SPY 04/13/23 410 STANDARD & POORS DEPOSITORY CUSIP: *8SMLPZ5 Symbol: SPY---230413P00410000							
2023-04-13	10.0	679.48	2023-04-13	680.49	0.00	-1.01	
PUT SPY 05/12/23 413 STANDARD & POORS DEPOSITORY CUSIP: *8SMLTH2 Symbol: SPY---230512P00413000							
2023-05-12	2.0	139.88	2023-05-12	172.10	0.00	-32.22	
CALL SPY 05/15/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SMPFR2 Symbol: SPY---230515C00412000							
2023-05-15	10.0	609.48	2023-05-15	600.49	0.00	8.99	
PUT AMD 06/09/23 118 ADVANCED MICRO DEVICES INC CUSIP: *8SMQGJ7 Symbol: AMD---230609P00118000							
2023-06-06	65.0	6,596.59	2023-06-06	8,277.24	71.03 D	-1,609.62	
PUT QQQ 06/02/23 354 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SMQPX1 Symbol: QQQ---230602P00354000							
2023-06-02	80.0	6,795.82	2023-06-02	6,153.95	0.00	641.87	
CALL SPY 05/16/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SMQX2 Symbol: SPY---230516C00412000							
2023-05-16	2.0	117.88	2023-05-16	112.10	0.00	5.78	
PUT SPY 05/16/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SMQX4 Symbol: SPY---230516P00412000							
2023-05-16	15.0	1,189.20	2023-05-16	1,090.74	0.00	98.46	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 06/13/23 431 STANDARD & POORS DEPOSITORY CUSIP: *8SNBVS6 Symbol: SPY---230613P00431000							
2023-06-12	60.0	6,679.73	2023-06-12	7,276.05	283.10 D	-313.22	
PUT QQQ 05/17/23 328 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SNHCD5 Symbol: QQQ---230517P00328000							
2023-05-17	2.0	83.87	2023-05-17	78.10	0.00	5.77	
CALL SPY 04/17/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SNHKF4 Symbol: SPY---230417C00412000							
2023-04-17	15.0	424.21	2023-04-17	536.26	30.52 D	-81.53	
PUT SPY 04/17/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SNHKF5 Symbol: SPY---230417P00412000							
2023-04-17	10.0	549.45	2023-04-17	546.02	0.52 D	3.95	
CALL SPY 04/17/23 413 STANDARD & POORS DEPOSITORY CUSIP: *8SNHKG0 Symbol: SPY---230417C00413000							
2023-04-17	5.0	384.73	2023-04-17	420.25	0.00	-35.52	
CALL SPY 05/17/23 412 STANDARD & POORS DEPOSITORY CUSIP: *8SNHWK4 Symbol: SPY---230517C00412000							
2023-05-17	17.0	985.08	2023-05-17	933.84	0.00	51.24	
CALL SPY 05/17/23 413 STANDARD & POORS DEPOSITORY CUSIP: *8SNHWK7 Symbol: SPY---230517C00413000							
2023-05-17	10.0	429.47	2023-05-17	515.50	0.00	-86.03	
CALL COIN 06/23/23 59 COINBASE GLOBAL INC CUSIP: *8SNPBQ6 Symbol: COIN---230623C00059000							
2023-06-22	4.0	251.78	2023-06-22	232.20	0.00	19.58	
PUT DIS 06/23/23 93 WALT DISNEY CO CUSIP: *8SNQDD7 Symbol: DIS---230623P00093000							
2023-06-13	5.0	449.71	2023-06-13	428.25	0.00	21.46	
CALL SPY 04/12/23 411 STANDARD & POORS DEPOSITORY CUSIP: *8SNSXS1 Symbol: SPY---230412C00411000							
2023-04-12	30.0	2,588.43	2023-04-12	2,507.00	0.51 D	81.94	
CALL QQQ 07/06/23 366 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPMSG0 Symbol: QQQ---230706C00366000							
2023-07-06	5.0	324.73	2023-07-06	310.25	0.00	14.48	
CALL SPY 07/06/23 438 STANDARD & POORS DEPOSITORY CUSIP: *8SPPHL2 Symbol: SPY---230706C00438000							
2023-07-06	25.0	1,483.68	2023-07-06	1,466.23	0.00	17.45	
CALL SPY 07/06/23 439 STANDARD & POORS DEPOSITORY CUSIP: *8SPPHL5 Symbol: SPY---230706C00439000							
2023-07-06	10.0	979.45	2023-07-06	960.49	0.00	18.96	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 08/04/23	447.50	STANDARD & POORS DEPOSITORY CUSIP: *8SPPJL7 Symbol: SPY---230804C00447500					
2023-08-04	10.0	619.47	2023-08-04	560.50	0.00	58.97	
CALL SPY 08/04/23	450	STANDARD & POORS DEPOSITORY CUSIP: *8SPPJN1 Symbol: SPY---230804C00450000					
2023-08-04	10.0	539.47	2023-08-04	490.50	0.00	48.97	
CALL NFLX 06/30/23	445	NETFLIX INC CUSIP: *8SPRHV1 Symbol: NFLX--230630C00445000					
2023-06-30	5.0	194.73	2023-06-30	176.25	0.00	18.48	
PUT QQQ 06/22/23	364	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPSGB0 Symbol: QQQ---230622P00364000					
2023-06-22	10.0	1,029.45	2023-06-22	970.50	0.00	58.95	
CALL QQQ 06/22/23	365	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPSGB1 Symbol: QQQ---230622C00365000					
2023-06-22	5.0	379.73	2023-06-22	355.25	0.00	24.48	
CALL QQQ 06/26/23	360	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SQKT2 Symbol: QQQ---230626C00360000					
2023-06-26	50.0	1,919.33	2023-06-26	2,273.57	52.09 D	-302.15	
CALL SPY 06/26/23	432	STANDARD & POORS DEPOSITORY CUSIP: *8SQLP2 Symbol: SPY---230626C00432000					
2023-06-26	5.0	359.73	2023-06-26	335.25	0.00	24.48	
PUT SPY 06/26/23	434	STANDARD & POORS DEPOSITORY CUSIP: *8SQLQ5 Symbol: SPY---230626P00434000					
2023-06-26	5.0	299.73	2023-06-26	300.25	0.00	-0.52	
PUT QQQ 07/14/23	381	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SRZBW0 Symbol: QQQ---230714P00381000					
2023-07-14	30.0	1,648.41	2023-07-14	1,631.48	0.00	16.93	
CALL DIS 08/11/23	92	WALT DISNEY CO CUSIP: *8SSDLJ5 Symbol: DIS---230811C00092000					
2023-08-10	10.0	369.47	2023-08-10	350.50	0.00	18.97	
PUT META 09/08/23	295	META PLATFORMS INC CL A CUSIP: *8SSKQL5 Symbol: META--230908P00295000					
2023-09-07	4.0	427.78	2023-09-07	476.20	0.00	-48.42	
PUT NVDA 09/08/23	450	NVIDIA CORPORATION CUSIP: *8SSYTY2 Symbol: NVDA--230908P00450000					
2023-09-06	5.0	464.73	2023-09-06	455.25	0.00	9.48	
PUT QQQ 09/08/23	373	INVESCO QQQ TRUST SERIES 1 CUSIP: *8STKFW6 Symbol: QQQ---230908P00373000					
2023-09-08	30.0	2,308.40	2023-09-08	2,131.50	0.00	176.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 09/19/23 367 INVESCO QQQ TRUST SERIES 1 CUSIP: *8STNVC0 Symbol: QQQ---230919P00367000							
2023-09-19	10.0	799.47	2023-09-19	860.50	0.00	-61.03	
PUT AAPL 07/21/23 160 APPLE INC CUSIP: *8STXST5 Symbol: AAPL--230721P00160000							
2023-04-03	1.0	694.93	2023-03-31	690.05	0.00	4.88	
2023-04-18	2.0	1,029.88	2023-04-18	1,020.10	0.00	9.78	
2023-05-09	4.0	1,043.78	2023-05-09	1,040.20	0.00	3.58	
Security Totals:		2,768.59		2,750.35		18.24	
CALL TSLA 09/08/23 250 TESLA INC CUSIP: *8SVDFS8 Symbol: TSLA--230908C00250000							
2023-09-08	2.0	77.88	2023-09-08	68.10	0.00	9.78	
CALL AA 03/17/23 55 ALCOA CORPORATION CUSIP: *8SXJZV6 Symbol: AA---230317C00055000							
2023-02-09	4.0	739.77	2023-02-08	690.20	0.00	49.57	
CALL MMAT 01/17/25 1 META MATLS INC CUSIP: *8SZHQY9 Symbol: MMAT--250117C00001000							
2023-07-12	20.0	98.96	2023-04-27	140.98	42.02 D	0.00	
PUT QQQ 03/03/23 295 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UCCX3 Symbol: QQQ---230303P00295000							
2023-03-03	2.0	169.87	2023-03-03	170.10	0.00	-0.23	
PUT SPY 03/03/23 399 STANDARD & POORS DEPOSITORY CUSIP: *8UCDYD1 Symbol: SPY---230303P00399000							
2023-03-03	2.0	204.86	2023-03-03	197.22	0.12 D	7.76	
CALL SPY 03/03/23 400 STANDARD & POORS DEPOSITORY CUSIP: *8UCDYD2 Symbol: SPY---230303C00400000							
2023-03-03	2.0	209.88	2023-03-03	198.10	0.00	11.78	
PUT SPY 03/03/23 401 STANDARD & POORS DEPOSITORY CUSIP: *8UCDYD5 Symbol: SPY---230303P00401000							
2023-03-03	7.0	551.61	2023-03-03	574.35	0.00	-22.74	
PUT SPY 01/11/23 392 STANDARD & POORS DEPOSITORY CUSIP: *8UCPJQ9 Symbol: SPY---230111P00392000							
2023-01-11	1.0	83.94	2023-01-11	82.04	0.00	1.90	
CALL AMZN 03/31/23 105 AMAZON.COM INC CUSIP: *8UDCKP5 Symbol: AMZN--230331C00105000							
2023-03-22	5.0	309.73	2023-03-22	370.25	0.00	-60.52	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 05/19/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8UDHXW4 Symbol: SPY---230519P00390000					
2023-04-03	2.0	877.87	2023-03-31	876.10	0.00	1.77	
CALL SPY 05/19/23	415	STANDARD & POORS DEPOSITORY CUSIP: *8UDHXZ0 Symbol: SPY---230519C00415000					
2023-05-11	2.0	351.88	2023-05-11	347.10	0.00	4.78	
CALL QQQ 08/18/23	363	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UDMNF7 Symbol: QQQ---230818C00363000					
2023-08-17	10.0	439.47	2023-08-17	500.50	0.00	-61.03	
PUT QQQ 04/19/23	316	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFNBY4 Symbol: QQQ---230419P00316000					
2023-04-19	14.0	335.27	2023-04-19	554.70	0.00	-219.43	
PUT QQQ 04/19/23	317	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFNBY6 Symbol: QQQ---230419P00317000					
2023-04-19	5.0	489.69	2023-04-19	475.25	0.00	14.44	
CALL QQQ 04/19/23	318	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFNBY7 Symbol: QQQ---230419C00318000					
2023-04-19	30.0	1,678.44	2023-04-19	1,641.48	0.00	36.96	
PUT SPY 04/19/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8UFNCQ6 Symbol: SPY---230419P00412000					
2023-04-19	5.0	274.70	2023-04-19	250.25	0.00	24.45	
CALL SPY 06/30/23	438	STANDARD & POORS DEPOSITORY CUSIP: *8UFNCT1 Symbol: SPY---230630C00438000					
2023-06-28	5.0	419.73	2023-06-28	415.25	0.00	4.48	
PUT QQQ 05/18/23	327	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFNPQ9 Symbol: QQQ---230518P00327000					
2023-05-17	95.0	5,380.04	2023-05-17	5,471.24	86.53 D	-4.67	
PUT SPY 05/18/23	414	STANDARD & POORS DEPOSITORY CUSIP: *8UFSPH9 Symbol: SPY---230518P00414000					
2023-05-18	2.0	121.88	2023-05-18	142.10	0.00	-20.22	
CALL SPY 05/18/23	416	STANDARD & POORS DEPOSITORY CUSIP: *8UFSPJ2 Symbol: SPY---230518C00416000					
2023-05-18	10.0	409.48	2023-05-18	400.49	0.00	8.99	
PUT SPY 05/18/23	416	STANDARD & POORS DEPOSITORY CUSIP: *8UFSPJ3 Symbol: SPY---230518P00416000					
2023-05-18	22.0	536.83	2023-05-18	597.04	27.95 D	-32.26	
PUT SPY 05/18/23	417	STANDARD & POORS DEPOSITORY CUSIP: *8UFSPJ5 Symbol: SPY---230518P00417000					
2023-05-18	15.0	1,044.21	2023-05-18	975.74	0.00	68.47	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 06/23/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8UFSPV4 Symbol: SPY---230623C00435000					
2023-06-23	10.0	339.48	2023-06-23	340.49	0.00	-1.01	
PUT SPY 06/23/23	432	STANDARD & POORS DEPOSITORY CUSIP: *8UFYGK1 Symbol: SPY---230623P00432000					
2023-06-23	5.0	139.73	2023-06-23	165.25	0.00	-25.52	
CALL SPY 06/23/23	434	STANDARD & POORS DEPOSITORY CUSIP: *8UFYGK2 Symbol: SPY---230623C00434000					
2023-06-23	20.0	158.96	2023-06-23	270.99	0.00	-112.03	
PUT SPY 06/23/23	434	STANDARD & POORS DEPOSITORY CUSIP: *8UFYGK3 Symbol: SPY---230623P00434000					
2023-06-23	15.0	398.19	2023-06-23	420.75	0.00	-22.56	
PUT QQQ 04/20/23	316	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UGFXK5 Symbol: QQQ---230420P00316000					
2023-04-20	57.0	3,133.00	2023-04-20	3,459.81	0.00	-326.81	
PUT SPY 05/22/23	419	STANDARD & POORS DEPOSITORY CUSIP: *8UGLCL4 Symbol: SPY---230522P00419000					
2023-05-22	10.0	659.48	2023-05-22	590.49	0.00	68.99	
PUT SPY 05/22/23	420	STANDARD & POORS DEPOSITORY CUSIP: *8UGLCL6 Symbol: SPY---230522P00420000					
2023-05-22	5.0	514.73	2023-05-22	485.25	0.00	29.48	
PUT SPY 05/23/23	416	STANDARD & POORS DEPOSITORY CUSIP: *8UGLCR8 Symbol: SPY---230523P00416000					
2023-05-23	15.0	709.20	2023-05-23	660.75	0.00	48.45	
CALL SPY 05/23/23	417	STANDARD & POORS DEPOSITORY CUSIP: *8UGLCR9 Symbol: SPY---230523C00417000					
2023-05-23	30.0	1,248.44	2023-05-23	1,151.50	0.00	96.94	
CALL NVDA 08/11/23	450	NVIDIA CORPORATION CUSIP: *8UGMLJ5 Symbol: NVDA--230811C00450000					
2023-08-09	10.0	1,125.44	2023-08-09	1,100.50	0.00	24.94	
PUT SPY 06/09/23	428	STANDARD & POORS DEPOSITORY CUSIP: *8UGQHZ5 Symbol: SPY---230609P00428000					
2023-06-08	10.0	679.48	2023-06-08	710.50	0.00	-31.02	
PUT QQQ 08/11/23	366	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UGRBS3 Symbol: QQQ---230811P00366000					
2023-08-11	11.0	395.42	2023-08-11	338.55	0.00	56.87	
PUT SPY 08/11/23	443	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHQ8 Symbol: SPY---230811P00443000					
2023-08-10	10.0	879.46	2023-08-10	850.50	0.00	28.96	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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CALL QQQ 05/26/23	342	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UGSRZ1 Symbol: QQQ---230526C00342000					
2023-05-26	5.0	499.73	2023-05-26	425.25	0.00	74.48	
CALL SPY 05/24/23	411	STANDARD & POORS DEPOSITORY CUSIP: *8UGWTY1 Symbol: SPY---230524C00411000					
2023-05-24	15.0	1,084.21	2023-05-24	1,316.26	120.52 D	-111.53	
CALL QQQ 08/15/23	368	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHCWP1 Symbol: QQQ---230815C00368000					
2023-08-15	20.0	1,598.93	2023-08-15	1,606.00	0.00	-7.07	
CALL AAPL 06/30/23	190	APPLE INC CUSIP: *8UHDPQ6 Symbol: AAPL--230630C00190000					
2023-06-23	4.0	239.78	2023-06-23	228.20	0.00	11.58	
CALL QQQ 08/16/23	366	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHQMD5 Symbol: QQQ---230816C00366000					
2023-08-16	20.0	758.93	2023-08-16	741.00	0.00	17.93	
CALL QQQ 08/16/23	367	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHQMD7 Symbol: QQQ---230816C00367000					
2023-08-16	20.0	1,538.94	2023-08-16	1,401.00	0.00	137.94	
CALL QQQ 08/17/23	361	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHQMX0 Symbol: QQQ---230817C00361000					
2023-08-17	5.0	319.73	2023-08-17	350.25	0.00	-30.52	
CALL QQQ 08/17/23	362	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHQMX2 Symbol: QQQ---230817C00362000					
2023-08-17	10.0	739.47	2023-08-17	730.50	0.00	8.97	
CALL QQQ 08/17/23	364	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHQMX6 Symbol: QQQ---230817C00364000					
2023-08-17	40.0	2,897.87	2023-08-17	2,873.04	51.04 D	75.87	
PUT SPY 08/16/23	442	STANDARD & POORS DEPOSITORY CUSIP: *8UHQXQ3 Symbol: SPY---230816P00442000					
2023-08-16	10.0	349.47	2023-08-16	340.50	0.00	8.97	
CALL QQQ 08/21/23	362	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHSYP4 Symbol: QQQ---230821C00362000					
2023-08-21	10.0	620.45	2023-08-21	620.50	0.00	-0.05	
PUT QQQ 08/21/23	362	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHSYP5 Symbol: QQQ---230821P00362000					
2023-08-21	10.0	409.47	2023-08-21	400.50	0.00	8.97	
PUT QQQ 08/22/23	363	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UHSZD6 Symbol: QQQ---230822P00363000					
2023-08-22	10.0	249.47	2023-08-22	240.50	0.00	8.97	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 08/22/23 364 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UHSZD7 Symbol: QQQ---230822C00364000							
2023-08-22	10.0	479.45	2023-08-22	480.50	0.00	-1.05	
CALL QQQ 08/22/23 365 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UHSZD9 Symbol: QQQ---230822C00365000							
2023-08-22	5.0	359.73	2023-08-22	405.25	0.00	-45.52	
PUT SPY 08/21/23 438 STANDARD & POORS DEPOSITORY CUSIP: *8UHTCS2 Symbol: SPY---230821P00438000							
2023-08-21	10.0	369.47	2023-08-21	350.50	0.00	18.97	
CALL SPY 08/22/23 439 STANDARD & POORS DEPOSITORY CUSIP: *8UHTDG8 Symbol: SPY---230822C00439000							
2023-08-22	10.0	219.47	2023-08-22	210.50	0.00	8.97	
PUT SPY 08/22/23 439 STANDARD & POORS DEPOSITORY CUSIP: *8UHTDG9 Symbol: SPY---230822P00439000							
2023-08-21	15.0	1,844.19	2023-08-21	1,770.75	0.00	73.44	
CALL SPY 08/22/23 440 STANDARD & POORS DEPOSITORY CUSIP: *8UHTDH0 Symbol: SPY---230822C00440000							
2023-08-22	10.0	994.45	2023-08-22	945.50	0.00	48.95	
CALL SPY 08/22/23 441 STANDARD & POORS DEPOSITORY CUSIP: *8UHTDH2 Symbol: SPY---230822C00441000							
2023-08-22	15.0	1,054.18	2023-08-22	1,056.29	10.54 D	8.43	
CALL TSLA 07/28/23 267.50 TESLA INC CUSIP: *8UJDWB5 Symbol: TSLA--230728C00267500							
2023-07-28	4.0	227.78	2023-07-28	280.20	0.00	-52.42	
PUT QQQ 05/23/23 335 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UJKTG4 Symbol: QQQ---230523P00335000							
2023-05-23	40.0	1,437.92	2023-05-23	1,401.97	0.00	35.95	
PUT QQQ 05/25/23 339 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UJKVJ2 Symbol: QQQ---230525P00339000							
2023-05-25	10.0	789.48	2023-05-25	780.49	0.00	8.99	
PUT QQQ 08/23/23 366 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UJSRH0 Symbol: QQQ---230823P00366000							
2023-08-23	10.0	1,089.47	2023-08-23	1,030.50	0.00	58.97	
PUT SPY 08/23/23 442 STANDARD & POORS DEPOSITORY CUSIP: *8UJSVR8 Symbol: SPY---230823P00442000							
2023-08-23	15.0	1,219.20	2023-08-23	1,180.75	0.00	38.45	
PUT SPY 08/23/23 443 STANDARD & POORS DEPOSITORY CUSIP: *8UJSVS0 Symbol: SPY---230823P00443000							
2023-08-23	50.0	3,247.34	2023-08-23	3,172.50	0.00	74.84	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 08/21/23	360	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UJXVP0 Symbol: QQQ---230821P00360000					
2023-08-21	10.0	889.47	2023-08-21	860.50	0.00	28.97	
CALL SPY 08/24/23	440	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLG7 Symbol: SPY---230824C00440000					
2023-08-24	10.0	619.46	2023-08-24	605.50	0.00	13.96	
CALL SPY 08/24/23	441	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLG9 Symbol: SPY---230824C00441000					
2023-08-24	20.0	1,348.94	2023-08-24	1,468.03	81.03 D	-38.06	
CALL SPY 08/24/23	442	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLH1 Symbol: SPY---230824C00442000					
2023-08-24	10.0	1,019.47	2023-08-24	910.50	0.00	108.97	
PUT SPY 08/24/23	443	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLH4 Symbol: SPY---230824P00443000					
2023-08-23	10.0	1,629.46	2023-08-23	1,600.50	0.00	28.96	
2023-08-24	10.0	759.47	2023-08-24	750.50	0.00	8.97	
Security Totals:		2,388.93		2,351.00		37.93	
CALL SPY 08/24/23	444	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLH5 Symbol: SPY---230824C00444000					
2023-08-24	10.0	939.46	2023-08-24	980.50	0.00	-41.04	
CALL SPY 08/24/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLH7 Symbol: SPY---230824C00445000					
2023-08-24	20.0	968.91	2023-08-24	1,122.06	91.06 D	-62.09	
PUT SPY 08/28/23	438	STANDARD & POORS DEPOSITORY CUSIP: *8UKGHH3 Symbol: SPY---230828P00438000					
2023-08-25	10.0	1,629.46	2023-08-25	1,530.50	0.00	98.96	
PUT SPY 08/28/23	441	STANDARD & POORS DEPOSITORY CUSIP: *8UKGHH9 Symbol: SPY---230828P00441000					
2023-08-28	10.0	299.45	2023-08-28	300.50	0.00	-1.05	
CALL SPY 08/28/23	442	STANDARD & POORS DEPOSITORY CUSIP: *8UKGHJ0 Symbol: SPY---230828C00442000					
2023-08-28	20.0	558.94	2023-08-28	541.00	0.00	17.94	
CALL SPY 08/28/23	443	STANDARD & POORS DEPOSITORY CUSIP: *8UKGHJ2 Symbol: SPY---230828C00443000					
2023-08-28	20.0	738.94	2023-08-28	1,091.00	0.00	-352.06	
PUT SPY 08/28/23	443	STANDARD & POORS DEPOSITORY CUSIP: *8UKGHJ3 Symbol: SPY---230828P00443000					
2023-08-28	5.0	449.73	2023-08-28	450.25	0.00	-0.52	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 09/29/23 375 INVESCO QQQ TRUST SERIES 1 CUSIP: *8W83034 Symbol: QQQ---230929C00375000							
2023-09-18	4.0	1,119.78	2023-09-15	1,104.20	0.00	15.58	
2023-09-21	25.0	898.65	2023-09-20	2,196.25	0.00	-1,297.60	
Security Totals:		2,018.43		3,300.45		-1,282.02	
PUT SPY 02/17/23 404 STANDARD & POORS DEPOSITORY CUSIP: *8Y23422 Symbol: SPY---230217P00404000							
2023-02-17	10.0	520.45	2023-02-17	480.49	0.00	39.96	
META MATLS INC COMMON STOCK CUSIP: 59134N104 Symbol: MMAT							
2023-02-09	7.0	6.49	2023-01-20	6.99	0.00	-0.50	
2023-05-09	6000.0	1,313.71	Various	1,498.30	198.70 D	14.11	
2023-05-10	5000.0	1,110.26	Various	1,254.82	0.00	-144.56	
2023-09-15	1000.0	229.94	2023-09-13	227.80	0.00	2.14	
Security Totals:		2,660.40		2,987.91		-128.81	
NOVO INTEGRATED SCIENCES INC COMMON STOCK CUSIP: 67011T201 Symbol: #N038258							
2023-05-11	2000.0	287.50	2023-05-11	288.00	0.00	-0.50	
PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ CUSIP: 74347G432 Symbol: SQQQ							
2023-06-13	100.0	2,049.98	2023-06-13	2,005.00	0.00	44.98	
2023-06-15	200.0	3,826.13	2023-06-15	3,809.82	0.00	16.31	
Security Totals:		5,876.11		5,814.82		61.29	
Totals:		355,715.77		381,860.12		-23,597.07	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MU73363

(continued)

2024-01-31

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Checked (Noncovered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Not Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PROSHARES TRUST II PROSHARES ULTRA BLOOMBERG NATURAL GAS CUSIP: 74347Y870 Symbol: #P052092							
2023-03-21	500.0	2,149.91	2023-03-20	2,127.00	0.00	22.91	
Totals:		2,149.91		2,127.00		22.91	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) Instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the Instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) Instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) Instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) Instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14–16. Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

Form 1099-MISC Incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) Instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Box 9. Report this amount on Schedule F (Form 1040).

Box 10. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Box 11. Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.

Box 12. May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.

Box 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 14. Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

Box 15. Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

Boxes 16–18. Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the Instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.